Appendix A

Tradeoff Studies and Options Considered

Appendix A-1—Milling

The three most common techniques for biomass size reduction are hammer milling, knife milling, and disk refining. The power consumption for both hammer and knife milling of wheat straw, aspen, corn cobs, and corn stover has been studied by Himmel et al. (1986). This study determined that knife milling has the lowest power consumption and is the preferred technique. Thus, knife-milled material has been used for most of SERI's experimental work (Spindler et al. 1989a, Spindler et al. 1988).

However, based on the recommendations of ABB Sprout-Bauer, Inc. (1990), a manufacturer of all three types of mills, a disk refiner was chosen for this study. Both the hammer mills and the knife mills currently manufactured have limited capacity. For example, the capacity of the largest knife mill available is 8000 lb/h; thus, 20 mills are required to handle the same capacity as four disk refiners. The cost of 20 knife mills is \$3.1 MM compared to the cost of \$1.48 MM for four disk refiners. However, the greater power requirements for disk refiners (128 hp-h/ton, [ABB Sprout-Bauer 1990] compared to 85 hp-h/ton for knife milling [Himmel et al. 1986]) makes the total cost (operating plus capital charges) for each option approximately equal. But disk refiners were chosen because less solids handling equipment is required to feed four disk refiners when compared to the equipment required to feed 20 knife mills. Maintenance requirements are also expected to be less severe for disk refiners (kmife mills would require frequent replacement) of the knife blades).

Appendix A-2—Pretreatment

Introduction

Of the many biomass feedstocks available for ethanol production, one of the most abundant and cheapest is cellulosic biomass. Cellulose, a polymer of glucose, can be broken down into glucose by enzymes and then converted to ethanol by yeast. However, hydrolysis of cellulose in raw cellulosic biomass is difficult. This has been attributed to the crystallinity of cellulose and the lignin-hemicellulose sheath that surrounds the cellulose. Thus, some form of pretreatment is necessary to disrupt the lignin-hemicellulose sheath and increase the susceptibility of the cellulose to enzymatic attack. Pretreatment can also hydrolyze hemicellulose to its individual sugar components. In the case of hardwoods and wheat straw, the hemicellulose is composed primarily of the five-carbon sugar xylose, a sugar that can also be converted to ethanol. The conversion of xylose to ethanol improves the overall economics of the cellulosic biomass-to-ethanol process (Hinman et al. 1989).

Several processes can be used for pretreating biomass including autohydrolysis steam explosion, steam explosion with an acid catalyst, dilute sulfuric acid hydrolysis, and the organosolv process. The dilute-acid process uses low concentrations of sulfuric acid at relatively low temperatures (160°C for 10 min) to achieve almost complete conversion of the hemicellulose xylans to xylose (Grohmann et al. 1986, Torget et al. 1988). However, prior to this step, particle size is reduced to nearly 1.0 mm, requiring significant amounts of energy. Both steam-explosion processes use high-pressure steam and rapid depressurization to reduce the size of the biomass particle and partially hydrolyze the hemicellulose fraction. Both require operationally complex steam-explosion guns. With autohydrolysis steam explosion, the yield of xylose is low (30%-50%) (Wright 1988). However, the yield can be improved by using a catalyst, such as \$\omega\$O_2 (Schwald et al. 1989), which is the basis for the acid-catalyzed steam-explosion process. The organosolv process uses an organic solvent to dissolve the lignin and hemicellulose fractions from the cellulose. The lignin is then precipitated from solution, leaving the xylose in the liquid stream. This process is

complicated and expensive, but produces a high-quality lignin stream that could be converted to high-value products.

Because of the low xylose yields and their negative impact on ethanol production economics, a detailed analysis of autohydrolysis steam explosion was not carried out in this study. Furthermore, because of the expense and complexity of the organosolv process and because there are no current large markets for high-quality lignin, this process was also not considered further. The economics of the two remaining pretreatment options, steam explosion with an acid catalyst and dilute sulfuric acid pretreatment, were evaluated with two different feedstocks: wheat straw and aspen wood chips. These materials are representative of the performance expected for two of the most abundant categories of cellulosic biomass, herbaceous and wood energy crops.

Methodology

Each of the four feedstock/pretreatment combinations is analyzed on the basis of total sugar (glucose as unconverted cellulose and xylose) produced, which could then be converted to ethanol. The economic information is summarized on the basis of total sugars, assuming 90% of the cellulose leaving the pretreatment process could be converted to glucose. However, the analysis does not consider conversion of cellulose to glucose or subsequent conversion of sugars to ethanol, but only considers the pretreatment processes. A different yield of glucose from cellulose will change the absolute cost of the processes but not the relative comparison between processes.

Process Description

Acid-Catalyzed Steam Explosion With Aspen Wood. A flowsheet of the acid-catalyzed steam explosion process for aspen wood chips is shown in Figure A-1. The design for the steam-explosion system is adopted from a design by Stone and Webster Engineering Corp. (Stone and Webster Engineering Corp. 1985), and the design of the SO₂ recovery section is based on the work of several groups (Schwald et al. 1989, Mackie et al. 1985, Wayman and Parekh 1988, Brownell and Saddler 1984). Finally, the design of the lime slurry preparation system section is adopted from a study by Badger Engineers, Inc. (1984).

Aspen wood chips are stored in an open pile and delivered by front-end loaders to a screen that removes oversized material, which is sent to a rechipper. The screened material is loaded into a stainless-steel steam-explosion gun. Each stainless-steel gun is a 3.5 ft (1.07 m) diameter pipe, 14.5 ft (4.45 m) long, designed for 650 psig (4480 kPa), and sealed on each end by quick-opening, full-port plug or ball valves. Once the chips are sealed inside the gun, steam and vaporized suffur dioxide are added, and the chips are cooked for 2 min. Sulfur dioxide added to the gun is taken as a liquid from a carbon steel storage vessel, pumped to 600 psig (4236 kPa), vaporized, and mixed with steam. After cooking, the material is blown down into a 316 stainless steel flash vessel operating at 60 psig (515 kPa). The steam-exploded wood then flows to a second 316 stainless-steel atmospheric flash tank where final cooling takes place.

Vapor from each of the flash tanks is sent to partial condensers (304 stainless-steel tubes/carbon steel shell). The condensate, still containing a small amount of SO_2 , is collected in a condensate receiver, their pumped to a 316 stainless-steel open-steam stripping column. The SO_2 removed from the top of the column is combined with the uncondensed SO_2 from the partial condensers, compressed to a liquid, and recycled back to the liquid SO_2 storage tank. The water from the bottom of the column is sent to waste treatment. This extensive SO_2 recovery system is employed to significantly reduce any discharge to the environment.

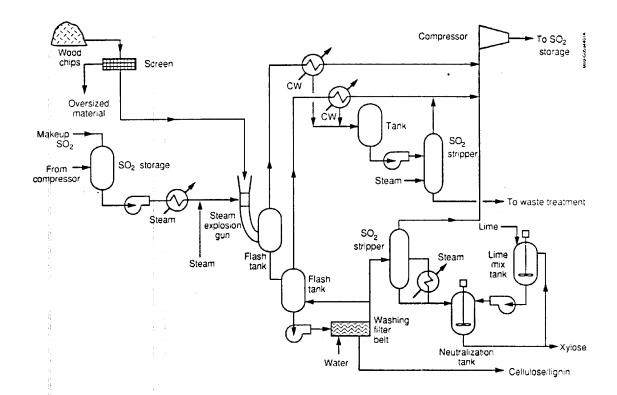


Figure A-1. Flowsheet for acid-catalyzed steam explosion of aspen wood chips

steam from the downstream washing filter belt to obtain a pumpable slurry. The slurry is pumped to the washing filter belt to a stripping column, which is heated by a steam reboiler. The SO₂ removed by this column is compressed, condensed, and recycled back to the liquid SO₂ storage tank.

The acidified liquid (from conversion of SO₂ to H₂SO₄) from the bottom of the stripping column is sent to a 304 stainless-steel vessel for neutralization by a lime slurry, producing a neutral xylose-containing stream, which can be sent to an ethanol production unit. A small amount of this stream is taken and mixed with lime in a carbon steel vessel to produce the lime slurry.

Acid-Catalyzed Steam Explosion With Wheat Straw. The wheat straw plant accepts bales that are managed by a crane system and stored in a pile. After the bales are broken apart in a bale shredder, the material is screened and sent to a separator bin that removes dirt, dust, and grains from the fibers. The fibers are then sent to the steam-explosion guns. The remainder of the process is shown in Figure A-1.

Dilute Sulfuric Acid Pretreatment With Aspen Wood. A flowsheet for the dilute sulfuric acid process with aspen wood chips is shown in Figure A-2. The pretreatment part of this process is based on the design of Torget et al. (1988). Wood chips are screened to remove the oversize material, which is sent to a rechipper. The acceptable material is sent to a disk refiner. Here the chips are milled to reduce the particle size to approximately 1.0 mm. Following this, the milled wood enters the steam-heated impregnator where water and acid are added. This reactor ensures that acid thoroughly diffuses into the wood particles. The acidified slurry is then fed to the prehydrolysis reactor, which is steam-heated to 160°C. Both the impregnation and prehydrolysis reactors are constructed of Carpenter-20 alloy for corrosion resistance. After prehydrolysis, the wood slurry is cooled by flashing to atmospheric pressure; the slurry is subsequently conveyed to the neutralization tank. The vapors from the flash tank are condensed and sent to waste treatment. The sulfuric acid in the wood slurry is neutralized in the neutralization tank by a lime slurry and additional water is added to make a pumpable wood slurry.

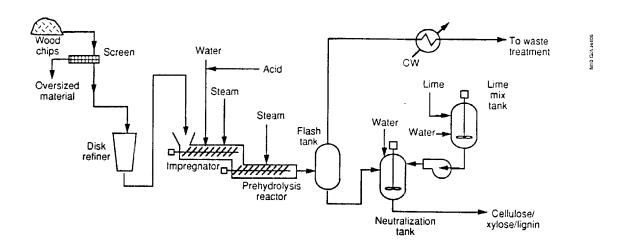


Figure A-2. Flowsheet for dilute sulfuric acid pretreatment of aspen wood chips

Dilute Sulfuric Acid Pretreatment With Wheat Straw. The design of the feed handling/storage and preparation areas is the same as that described for acid-catalyzed steam explosion with wheat straw. After screening the straw is sent to a disk refiner, and the remainder of the process is as described previously for dilute sulfuric acid pretreatment with aspen wood.

Plant Capacity and Feed Composition. Process flow diagrams for each feedstock were developed based on a delivered flow rate of 160,000 lb/h (72,700 kg/h) of dry feedstock to the pretreatment section of the plant. Aspen wood enters the plant at 50% moisture, and wheat straw enters the plant at 12% moisture (Strehler 1987). The chemical composition of each feedstock is given in Table A-1.

Design Basis

Acid-Catalyzed Steam Explosion. The chips are held in the steam-explosion guns for 2.0 min at 240°C (Schwald et al. 1989). An additional 0.5 min is needed for loading and preheating, and another 0.5 min is needed for blowdown and cleaning. The total cycle time per gun is 3 min, although this has not been demonstrated at the large scale assumed for this plant.

The SO₂ used for steam explosion is 1.6 kg per 100 kg of dry wood, and the high-pressure steam use is 0.85 kg per kg dry wood (Schwald et al. 1989). For wheat straw, the SO₂ use is the same, and the high-pressure steam use is calculated to be approximately 0.45 kg per kg of dry straw because of the lower water content. The xylan conversion from a SO₂-catalyzed steam explosion is 75% conversion to xylose, 15% conversion to furtural, 5% unchanged, and 5% degraded to solid products. Cellulose is assumed unchanged. The SO₂ is converted as follows: 9.2% to sulfuric acid, 9.7% to lignin sulfonic acids, 74.2% unconverted and available for recycle, and 6.5% retained with the lignin (Schwald et al. 1989). The washing filter belt system is a five-stage washing operation, similar to a paper pulp stock washer, in which 99% of the solubles are recovered (Stone and Webster Engineering Corp. 1985).

Dilute Sulfuric Acid Pretreatment. The milling step requires 125 hp/ton/h (94 kW/ton) of electrical power for wood and 12.5 hp/ton/h (6.4 kW/ton) for wheat straw (ABB Sprout-Bauer 1990). The impregnator operates at 100°C with a 10-min residence time (Torget et al. 1988). The prehydrolysis

Table A-1. Chemical Composition of Aspen Wood and Wheat Straw

	Aspen Wood (Badger Engineers 1984) (%)	Wheat Straw (Grohmann et al. 1986) (%)
Cellulose	46.2	40.8
Xylan	24.0	27.0
Lignin	24.0	18.4
Ash	0.2	11.2
Other	5.6	2.6

reactor operates at 160°C for a 10-min residence time and with an acid concentration of 1 wt % after steam and water addition (Torget et al. 1988). Xylan is assumed converted as follows: 80% to xylose, 13% to furfural, and 7% unconverted (Grohmann et al. 1986). Also, during prehydrolysis, 4% of the cellulose is converted to glucose (Seaman 1945); the rest is assumed unchanged.

Capital Cost Estimate and Economic Analysis

Heat and material balances were developed and used to specify equipment sizes. Purchased equipment cost is estimated using information from COADE (1983), Icarus Corp. (1987), Guthrie (1974), Stone and Webster Engineering Corp. (1985), and Badger Engineers, Inc. (1984). Total fixed investment is estimated as 2.85 times the purchased equipment cost (Chem Systems, Inc. 1990) plus an additional 2.0% for miscellaneous equipment. Working capital is 4.8% and startup cost is 5.0% of total fixed investment (Chem Systems, Inc. 1990). The annual capital charge (depreciation, taxes, insurance, and rate of return) is total capital invested (fixed plus working plus startup cost) times a fixed charge rate (FCR) of 0.20, typical for these types of plants (Chem Systems, Inc. 1990). Chem Systems, Inc. 1989). Chemical costs are taxen from ine Cnemical Markeing Reporter (1990). Utility costs for process water, cooling water, and steam are estimated from Peters and Timmerhaus (1980), and electricity is assumed to cost \$0.04/kWh. Manpower required is estimated from a previous study (Chem Systems. Inc. 1990) as 14 laborers at \$29,800/y and 3 foremen at \$34,000/y. Maintenance is 3.0% of total capital invested, and overhead is 65% of labor plus maintenance. Insurance and taxes are 1.5% of total fixed investment. By-product credit is taken for lignin sent to the boiler and is estimated as the heating value of lignin divided by the total heating value of the feedstock times the feedstock cost.

Results

An economic summary of acid-catalyzed steam explosion for both aspen wood and wheat straw is given in Table A-2 for a feedstock cost of \$42/dry ton (Wright et al. 1988) and a FCR of 0.2. The sugar selling price (glucose and xylose) as a function of feedstock cost is shown in Figure A-3 for both feedstocks. It is somewhat cheaper to produce sugars from aspen wood for the same feedstock cost. This is due to the greater amount of sugars contained in aspen wood (70% cellulose and xylans) when compared to wheat straw (68% cellulose and xylans). Also, aspen wood has a larger by-product credit because of its larger lignin content.

An economic summary of dilute sulfuric acid pretreatment for both aspen wood and wheat straw is given in Table A=3 for a feedstock cost of \$42/dry ton. The sugar selling price as a function of feedstock cost is also shown in Figure A-3. In this case, aspen wood also results in a lower sugar selling price for the same feedstock cost, except below \$35/dry ton where the costs are approximately the same. In this case, the advantages of the higher carbohydrate content of the aspen wood are offset by the greater electrical cost required to mill the aspen.

Table A-2. Economy Summary of the Steam Explosion Process

Grassroots plant, first-quarter 1990 cost

Plant Capacity: 160,000 dry lb/h

Total Capital Investment:

Aspen wood plant \$53.4 MM Wheat straw plant \$54.4 MM

	Aspen	Wheat Straw
		¢/lb sugars
Raw Materials		
Feed	3.28	3.44
so ₂	0.07	80.0
Lime	0.01	0.01
Utilities		
Process water	0.07	0.08
Cooling water	0.09	0.10
Steam-60 psig	0.28	. 0.26
Steam-600 psig	0.30	0.16
Electricity	0.03	0.05
Labor	0.06	0.06
.Maintenance	Ω.18	Ω.19
Overhead	0.16	0.16
esxe. That somewheat	30.0	0.00
By-product Credits		
Lignin	1.06	0.96
Capital Charges	1.30	1.39
Totals	4.85	5.11

Feedstock Cost: \$42/dry ton

FCR: 0.20

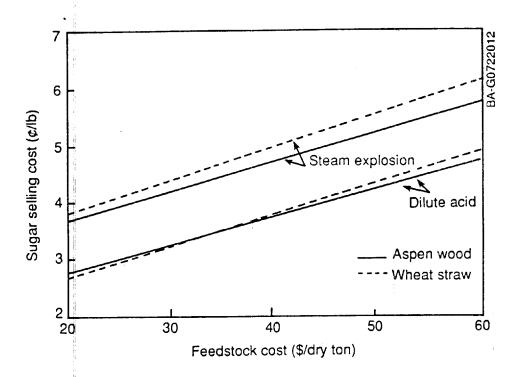


Figure A-3. Sugar selling cost as a function of feedstock type and cost for both aspen wood and wheat straw

Discussion

The choice of feedstocks for sugar production will probably be governed by plant location. Plants situated in heavy food crop agricultural areas will probably use agricultural residues such as wheat straw. In other areas, where agricultural residues are not readily available, wood energy crops will probably be used. There is not an overwhelming advantage to either feedstock, particularly for dilute sulfuric acid, if they are obtained at the same price. However, feedstock cost does have a significant effect on the selling price of sugar. A decrease in feedstock cost from \$60 to \$20 per dry ton decreases the selling price by approximately 2.0 ¢/lb sugar, which is a 35% reduction for steam explosion and a 42% reduction for allittee sulfuric acid.

The data in Tables A-2 and A-3 show that dilute sulfuric acid is approximately 20% cheaper than steam explosion using the process configuration and yields assumed in this study. The higher cost for steam explosion is primarily due to the higher capital cost associated with SO₂ recovery. These results are based on our current understanding of each of these processes. Future improvements and changes to the process configuration could after these results.

Appendix A-3—Sugar Separation

In this study, prehydrolyzed wood exits the prehydrolysis reactor with a high concentration of solids (approximately 24% solids with 10% xylose). At these conditions there is no free water, and all the water, xylose, acid, and other soluble materials are absorbed into the particle. The solids concentration of a completely saturated biomass particle is 18% to 20% (Schell 1990).

Table A-3. Economy Summary of the Dilute Acid Process

Grassroots plant, first-quarter 1990 cost Plant Capacity: 160,000 dry lb/h

Total Capital Investment:

Aspen wood plant \$32.5 MM Wheat straw plant \$34.3 MM

<i>-</i>	Aspen	Wheat Straw
		¢/lb sugars
erials		
Feed	3.09	3.21
Acid	0.07	0.08
Lime	0.05	0.06
Process water	0.03	0.04
Cooling water	0.02	0.02
Steam-60 psig	0.21	0.15
Steam-600 psig	0.0	0.0
Electricity	0.35	0.09
	0.06	0.06
nce	0.10	0.11
Ł	0.10	0.11
e and taxes	0.05	0.06
ct credits		
Lignin	1.00	0.90
narges	0.75	0.82
Totals	3.88	3.91
	Feed Acid Lime Process water Cooling water Steam-60 psig Steam-600 psig Electricity nce and taxes ct credits Lignin harges	erials

Feedstock Cost:\$42/dry ton 0.20

FCR:

One technique for removing xylose, acid, and other solubles from the particles is by repeated washing with water, which allows the sugars to diffuse from the particles into the bulk solution. Further recovery can then be achieved by squeezing the particles (e.g., by centrifugation), thus extracting more liquidcontaining sugars. The resulting liquid is then neutralized with lime, forming calcium sulfate (gypsum). After removal of the gypsum, the stream is sent to a xylose fermentation unit for conversion of xylose to ethanol. For this option, using two centrifuges in series with a counter current flow of wash water at an assumed rate of 2.5 lb wash water per pound of solids and dewatering to 35% solids, gave a 67% recovery of xylose and diluted the xylose stream from 10.0% to 6.7%. Sugar recovery can be improved by using more wash water, but the xylose is further diluted. In order to obtain a reasonable recovery of sugars (90%), it is estimated that four or five stages of centrifugation will be required at the same wash water flow rate. Using Bauger (1984) cost data for centrifuges doing similar service, the estimated purchase

price for five stages of centrifugation is \$8.0 MM and total capital cost of \$22.2 MM. This is roughly 16% of our estimated total capital cost of \$141 MM. With this option, additional capital is also required for gypsum separation equipment.

Because of the large capital expense associated with this option, it is preferable to neutralize the entire stream out of prehydrolysis and send the stream to xylose fermentation. During the fermentation, xylose will diffuse out of the particle into the surrounding liquid, where it will be converted into ethanol. Because xylose is disappearing from the bulk solution (via conversion to ethanol), a concentration gradient will exist that will continue to drive xylose from the particle into the surrounding liquid. The gypsum produced by neutralization and lignin will be carried along through xylose fermentation. The advantages of this option over the previous one are reduced cost (by elimination of centrifugation and gypsum separation) and potentially higher ethanol concentration from xylose fermentation. Because of these potential advantages, this option was used for this study. However, it is important to note that no actual performance data exist for this option.

Appendix A-4—Cellulase Production

Over the years, cellulase productivity has been improved through the development of new strains of the cellulase-producing fungus *Trichoderma reesei*. Researchers at Rutgers University developed a mutation of *T. reesei*, Rut C-30, which has significantly higher enzyme productivity than the previously used strain QM 9414. More recently, Cetus Corporation (Emeryville, CA) developed a highly productive mutation, L-27 (Shoemaker et al. 1981). In addition, experiments with various types of nutrient media have enabled cheaper ingredients, such as corn steep liquor, to be substituted for more expensive ingredients, such as proteose peptone.

Performance data for cellulase production using batch and fed-batch production techniques are available and listed in Table A-4. Fundamental kinetic information for the production of cellulase was not available. An analysis of the data shows that fed-batch production of cellulase has a higher productivity and yield than batch production. However, a true fed-batch mode of operation is not possible because the feed is wet. Fed-batch requires that essentially dry feed be added to the fermenter or that a portion of the fermenter contents be removed and slurried with the incoming feed. Because a fed-batch situation could not be envisioned, the process was designed for batch operation. Furthermore, the data are not of sufficient quality or quantity to allow any correlations to be developed. The values used for yield, substrate concentration, residence time, and specific activity are average values determined from the data for batch operation.

Appendix A-5—Xylose Fermentation

A preliminary analysis was performed to determine the relative merits of simultaneous fermentation and isomerization of xylose (SFIX) versus *E. coli* for the fermentation of xylose to ethanol. The genetically engineered *E. coli* supplied by L. Ingram has been shown to produce high ethanol yields of 88%-95% (Spindler 1989) but requires large amounts of base to neutralize fermentation acids. SFIX does not require large amounts of base but has lower yields and requires xylose isomerase production and immobilization. Both fermentations require 2 days to achieve adequate yields.

The purpose of this analysis is to determine the best option for xylose fermentation, SFIX or *E. coli*. Initially, we will assume that xylose isomerase is infinitely stable and 100% recoverable without cost; thus, there are no capital or operating expenses associated with isomerase use. Operating and capital costs, except for dase addition, are assumed to de equal for don't systems; since don't fermentations require 2 days. Thus, under these conditions, if the extra revenues received for the increased ethanol production

Table A-4. Cellulase Production Data for Trichoderma reesefa

Source	Watson et al. 1984	Hendy et al. 1982	Hendy et al. 1984	McLean et al. 1985	Wilke, Blanch 1985	Wilke, Blanch 1985	Sheir-ness et al. 1984	Tangnu et al. 1981	Hendy et al. 1982	Durand et al. 1988	Watson, Nelligan 1983	Schell et al. 1990	Mohagheghi et al. 1988
Culture ^f Method	Fed-batch	Fed-batch	Fed-batch	Fed-batch	Fed-batch	Batch	Batch	Batch	Batch	Batch (150L)	Batch	Batch	
Cellulase Yield (IU/g cellulose)	226	203	262	477	100	270	240	288	130	286	240	160	69
Productivity ^a (IU/L-h)	210	105	87	159	48	112	83	75	89	167	71 ^e	48	42
Residence Time (d)	12	12	12.5	0	13	10	9	80	4	2	7	7	2.75
Specific Activity (IU/g eng.)	880						1220	099		800			100
Cell Density (g/L)	50										=		14
Cellulose Concentration (g/L)	268 ^b (20) ^c	$150^{\rm b} (20)^{\rm c}$	100 ^b	$80^{\rm p}_{ m i}$ $(20)^{\rm a}$	150°D	100 ^b	50 ^a	50 ^a	50 ^a	70 ^a	50 ^a	50^{a}	40 ^a

^a Strain Rut C-30 except for Durand et al. (1988), CL-847, and Schell et al. (1990), L-27
^b Total cellulose added divided by fermenter volume
^c Initial cellulose concentration
^d Maximum productivity except as noted, calculated from cellulase yield, cellulose concentration, and residence time

e Average productivity, maximum not available

Laboratory scale fermenters except as noted

Average residence time (fed-batch): 11.6 days Average specific activity (all data): 732 IU/g Average cellulase yield (batch): 202 IU/g Average cellulase yield (fed-batch): 256 IU/g Average productivity (fed-batch): 120 Average productivity (batch): 79

Average residence time (batch): 5.7 days

from the E. coli fermentation offset the base cost, this will be a more viable method for xylose fermentation.

D. Spindler's (1989) data for ethanol concentration (g/L) and NaOH usage (mL) as a function of fermentation pH are shown in Table A-5. Ethanol production from xylose fermentation (in gal) is calculated from the ethanol concentration and fermenter working volume of 2.0 L used in these fermentations. NaOH usage is calculated from the base molarity and amount used in milliliters. Because NH₄OH is a cheaper base and provides some nutritional requirements, the equivalent amount of NH₄OH that would be required is calculated from the ratio of molecular weights. The amount of NH₄OH required per gal of ethanol produced is the ratio of NH₄OH usage (in lb) to ethanol produced (gal). The base cost (\$/gal ethanol produced from xylose fermentation) is NH₄OH required (lb/gal ethanol) multiplied by $NH_{4}OH$ cost developed below.

The cost of anhydrous ammonia is \$90/ton (Chemical Marketing Reporter 1989) (\$.045/lb). Ammonia dissociates into ammonium ions according to the following reaction:

$$NH_3 + H_2O \rightarrow NH_4^+ + OH^-$$
.

One ib of ammonia produces 2.06 ib of ammonium hydroxide. The cost of ammonium hydroxide is then:

$$\frac{\$0.045}{lb\ NH_3} \left(\frac{1.0\ lb\ NH_3}{2.06\ lb\ NH_4OH} \right) = \frac{\$0.0218}{lb\ NH_4OH} \,.$$

Table A-5. E. coli Fermentation Data and Base Usage and Cost

	Eth	anol	NaOH L	Jsage ^b	NH	₄ ОН	
ph	Conc. (g/L)	Prod. ^a (gal)	(mL)	(g)	Usage (g)	Req/. (lb/gal)	NH ₄ OH Cost (\$/gal)
7.0	36.6	.0248	250	50	43.7	3.89	0.84
6.5	39.3	.0266	240	48	42.0	3.48	.076
6.0	36.3	.0246	100	20	17.5	1.57	.034
5.5	22.6	.0153	380	76	66.5	9.57	.209

^a 2.0 L working volume ^b 5.0 M NaOH

The analysis continues by taking the ethanol yield for SFIX as 70% and the yield from the E. coli fermentation as 90%. (D. Spindler's yields for the E. coli fermentation were 90%, 96%, 89%, and 55% for pH controlled at 7.0, 6.5, 6.0, and 5.5, respectively.) Then, for 1 gal of ethanol produced by SFIX, 1.29 gal will be produced by the E. coli fermentation, and, with ethanol priced at \$0.60 per gal (the cost goal of the SERI/DOE alcohol fuels program), this gives extra revenue of \$0.17 for the E. coli fermentation. The additional cost to attain the extra revenue (at pH 7.0) is \$0.11 ($\$0.084/\text{gal} \times 1.29$ gal).

Thus, the extra revenue exceeds the extra cost, and, even in light of the optimistic assumption regarding isomerase cost for the SFIX process, the *E. coli* fermentation appears to be the more economical process.

In readity, xylose isomerase is not very stable at the pHs used for xylose fermemation, as shown by Figures A-4 and A-5. These data are for the immobilization of *E. coli* xylose isomerase as reported by G. Means (1989) and coworkers at Ohio State University. The exception is the immobilization of enzyme on polyethylenimine-glutaralidenyde-silica beads (PGS), which showed no degradation after 2 days. However, even with infinite enzyme life, recovery of the immobilized enzyme from the fermentation broth in the presence of lignocellulosic particles may be difficult.

Several other problems will increase the complexity of the SFIX process. S. pombe (the yeast used in SFIX) requires glucose for growth, which can either be bought or obtained from hydrolysis of cellulose. The cost of glucose (cost from Chemical Marketing Reporter Nov. 27, 1989) per gal of ethanol is shown in Figure A-6 as a function of time to discharge of the entire fermenter contents and the cell replacement rate per fermentation (assuming cell recycle). The fermenter must be periodically dumped as the level of nonviable cells builds up. This buildup as a function of cell replacement rate per fermentation is shown in Figure A-7. Thus, low cell replacement rates and long times between fermenter dumps would be necessary to achieve reasonable glucose cost, and lower enzyme replacement rates. However, this would lead to problems with buildup of lignin and unconverted cellulose in the fermenter.

Another option is xylose-fermenting yeast, but these fermentations suffer from low yields (50%-70%) and typically have longer fermentation times (2-5 days) (Skoog and Hahn-Hagerdal 1988). Another option is to buy Novo Sweetzyme Q (cost from Don Krull 1989) instead of producing xylose isomerase. Figure A-8 shows the cost of enzyme/gal ethanol out of the plant as a function of time until the fermenter

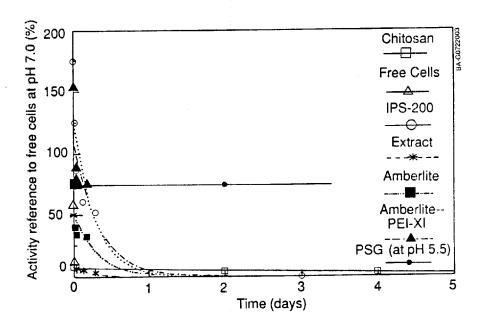


Figure A-4. Xylose isomerase stability at pH 5.75 for different immobilization methods SERI Proprietary Information

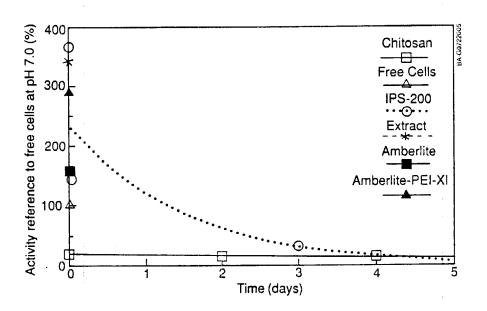


Figure A-5. Xylose isomerase stability at pH 6.0 for different immobilization methods

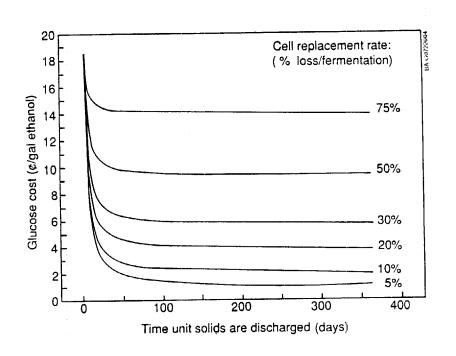


Figure A-6. Glucose cost as a function of discharge time and cell replacement rate

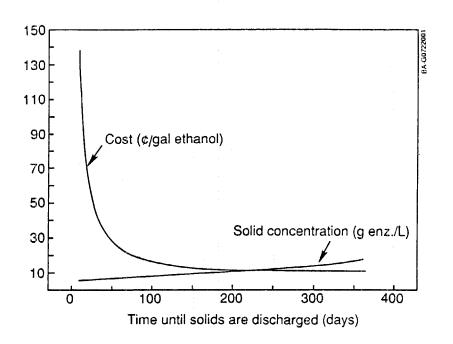


Figure A-7. Enzyme cost and concentration as a function of discharge time

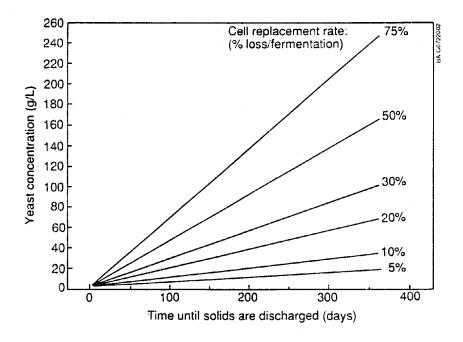


Figure A-8. Yeast concentration as a function of discharge time and cell replacement rate SERI Proprietary Information

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is dumped. It is assumed that enzyme is added to maintain a constant enzyme loading and that the half-life of the enzyme is 220 days, which is estimated from Novo data. In fact, this half-life is probably much greater than the half-life that could be obtained at a pH of 5.75, which will make the true cost even higher. Even then, the minimum cost of the enzyme is approximately \$0.10/gal ethanol.

For reference, SFIX performance data have been compiled in Table A-6 and xylose isomerase production data are shown in Table A-7.

Appendix A-6—Nutrient Cost for Xylose Fermentation and SSF

Although this study assumed that all nutrient requirements are met by the recycle stream, a source of supplemental nutrients may be required. The cost for nutrients is calculated for the worst-case scenario, assuming that the recycled water does not contribute any nutrients. The nutrient requirements for both xylose fermentation and SSF are given in Table A-8. The media for xylose fermentation is an M9 minimal media with the following changes: Na₂HPO₄ is eliminated because buffering is not required; the concentration of KH₂PO₄ is doubled to supply additional phosphate; and it is assumed that only one amino acid is required at a concentration of 0.075 g/L.

The cost for nutrients is shown in Table A-9 for both xylose fermentation and SSF. The second column is the average cost for all nutrients and is determined by weighing the individual nutrient cost with the required concentration. If nutrients are required at the concentrations assumed in Table A-8, then the cost of nutrients for xylose fermentation and SSF is 21¢/gal and 14¢/gal of denatured fuel, respectively, for a total cost of 35¢/gal of denatured fuel. This is not an insignificant cost, thus, the nutrient requirements for the process are extremely important.

Table A-6. Simultaneous Isomerization and Fermentation Data

Xylose Concentration	lsomerase Loading	Temp. Resi Time	Residence Time	Ethanol Yield	Cell Density			
(a/L)	(lU/g)	(°)	(p)	(%)	(g/L)·	Organism	Culture Method	Source
09	4.2 ^a	35	2	71		S. pombe	Batch	Lastick et al. 1989
09	8.3 ⁰	28	5	20	2.5	S. pombe	Batch	Orton et al. 1988
120	10.4 ^b	35	2	63	45	S. cerevisiae	Batch	Chiang et al. 1981
127		90	2	49	75	S. cerevisiae	Fed-	Hahn-Hagerdal et
120	20.8 ^b	40	_	85		S. cerevisiae	Batch	al. 1986
50		59	12	6	1.1	S. pombe	Batch	Gong et al. 1981
							Batch	Wang et al. 1980

^a Optimum rate at 2.3 IU/g ^b Assumes 25 IU/g enzyme for Sweetzyme Q (Lastick et al. 1986)

Table A-7. Xylose Isomerase Production Data

	Subtrate	Specific	Residence	Isomerase	Cell	:	: :
	Concentration	Activity	Time	Yield	Density		
Substrate	(a/L)	(IU/mg)	(h)	(IU/g)	(g/L)	Organism	Source
Glucose		1.2	***************************************			E. coli	Lastic et al. 1986
Glucose	35.5ª		14 ^b	40	15	E. coli	Spencer 1989
Glucose	0.1	.286				E. coli	Stevis, Ho 1985
Xvlose	2.0	.560				E. coil	Wovcha et al. 1983
Glucose		.015				E. coli	Schellenburg et al. 1983
Xvlose		.179				E. coli	Schellenburg et al. 1983
Xylose/Glycerol	20/20	.259				E. coli	Schellenburg et al. 1983
Xylose	30.0	.260				S)	Callens et al. 1985
•						violaceus	

^a Fed-batch production ^b First 12 h at 32°C, then temperature raised to 42°C for last 2 h.

Table A-8. Concentration and Cost for Xylose Fermentation and SSF Nutrients

Nutrient	Concentration (g/L)	Cost (¢/lb)
Xylose Fermentation		· · · · · · · · · · · · · · · · · · ·
CaCl ₂	0.01	7.65
MgSŐ₄	0.12	14.00
ĸň ₂ po₁	7.00	6.60
NaČI ⁴	3.50	1.00
NH ₄ CI	1.00	18.00
Amino acid	0.075	1000.00
SSF		
(NH) ₂ SO ₄	1.50	4.25
(NH) ₂ SO ₄ MgSO ₄	0.10	14.00
CaCl ₂	0.06	7.65
Corn steep liquor	7.50	11.00

Source for SSF nutrients: University of Arkansas

Table A-9. Nutrient Cost

	Average Nutrient Cost (¢/lb)	Annual Cost (\$MM)	Cost (¢/gal fuel)
Xylose Fermentation	12.35	12.24	21.1
SSF			
Nutrients	3.96	0.61	1.1
Corn steep liquor	11.00	7.60	13.1
Total SSF Cost	14.96	8.21	14.2
Total Cost		20.45	35.2

Appendix B

Process Technical Data and Assumptions

General Specifications

Mixing motors

1.0 hp/1,000 gal except as noted

Tank capacity

80% full except as noted

Chilled water temperature

10°C

Steam levels

50 psig, 150 psig

Feedstock Composition (dry):

Cellulose

46.2%

Xylan

24.0%

Lignin

24.0%

Ash

0.2%

Others (soluble)

5.6%

The wood is delivered to the plant at 50% moisture.

Feed Handling

Wood Chip Pile:

Storage

4 days

Losses

none (assumption)

Mill:

Particle size

2.0-3.0 mm

Power requirement

128 hp-h/dry ton (vendor number)

Pretreatment

Pretreatment is a two-step process. Acid impregnation is followed by prehydrolysis at a higher temperature.

Impregnation:

Reactor

continuous digester (Carpenter 20 alloy)

Temperature

100°C

Pressure

atmospheric

Exit solids concentration

35 wt % (design assumption)

Residence time

10 min. (assumption),

Prehydrolysis:

Reactor continuous digester (Carpenter 20 alloy)

Temperature 160°C Pressure 105 psig

Acid concentration 0.85 wt % after steam addition in the prehydrolysis reactor

Residence time 10 min

Conversions:

Cellulose to glucose 3.0% (kinetic data)

Cellulose to HMF 0.1% Unconverted cellulose 96.9%

Xylan to xylose 80.0% (experimental data)

Xylan to furfural 13.0% "Unconverted xylan 7.0% "

Flash Tank:

Solids concentration 12.0 wt % (Assumed to be a pumpable slurry)

Residence time 5 min (design assumption)

Pressure atmospheric

Mixing power 2.0 hp/1,000 gai (assumption)

All furfural to overheads (assumption)

Neutralization

Neutralizing agent lime

Residence time 10 min (assumption)

Mixing power 2.0 hp/1,000 gal (assumption)

Cellulase Production

Fermenters:

Type batch Temperature 28°C

Pressure 10.0 psig (design assumption)

pH 4.8 Neutralizing agent NH₂

Ammonia usage 0.045 lb/lb cellulose and xylose (experimental data)

Ammonia tank size 1% of fermentation capacity (assumption)

Substrate Collubse and xylose

Substrate concentration 5.0% (conforms to most experimental data)

Fermentation time 5.5 days (experimental data)

Cycle time 6.0 days

Cellulase yield 202 IU/g cellulose and xylose (experimental data)

Enzyme activity 732 IU/g enzyme (experimental data)

Final cell density 20 g/L (experimental data)

Specific growth rate 0.04157h "

 O_2 uptake Rate 42 mM O_2/L -h

Dissolved O_2 20% of air saturation (design assumptions) Antifoam use 1.0 ml/L of fermenter volume (assumption)

Nutrients: (from literature reference)

 $\begin{array}{lll} \mbox{Ammonium sulfate} & 1.4 \ \mbox{g/L} \\ \mbox{Potassium phosphate} & 2.0 \ \mbox{g/L} \\ \mbox{Magnesium sulfate*7H}_2\mbox{O} & 0.3 \ \mbox{g/L} \\ \mbox{Calcium chloride*2H}_2\mbox{O} & 0.4 \ \mbox{g/L} \\ \mbox{Tween 80} & 0.2 \ \mbox{g/L} \\ \mbox{Corn steep liquor} & 15.0 \ \mbox{g/L} \end{array}$

Seed Fermenters (same as above except):

Fermentation time 3.5 days (from literature reference)

Cycle time 4.0 days
Pressure atmospheric

Substrate concentration 1.0% cellulose and xylose

Cell yield 0.5 g cells/g substrate (assumption)
Final seed volume 5.0% of fermenter volume (assumption)

Airflow 0.2 vvm (assumption)

Mixing power

First seed vessel 0.5 hp/1,000 gal (assumption)

Other seed vessels 1.0 hp/1,000 gal

Sterile Feed Tank:

Mixing power maximum - 2.0 hp/1,000 gal (assumption)

average - one-half maximum

Cellulase Hold Tank:

Mixing power maximum - same as cellulase fermenters

average - one-half maximum

Xylose Fermentation

Fermenters:

Type continuous stirred tanks in series

Temperature 37°C

Pressure atmospheric

pH 7.0 Neutralizing agent NH₃

Ammonia use 0.2878 lb/lb ethanol produced (experimental data)
Ethanol yield 85.5% (experimental data and assumption of 90%

recovery of xylose from the particles)

Mixing power 0.1 hp/1,000 gal (assumption)

Tank fill 95%

Fermentation time 2 days (experimental data)

Nutrients none required (assumed contained in recycle water)

Ethanol to vent Aspen simulation (82% recovery)

Seed Fermenters (same as above except):

Type batch
Fermentation time 12 h
Cycle time 1 day

Substrate xylose and glucose

Substrate concentration 2.0%

Cell yield 0.5 g cells/g xylose (assumption)

Final seed volume 10.0% of fermenter volume (design assumption)

Airflow 0.2 vvm (assumption)

Seed Hold Tank:

Mixing power maximum - 0.1 hp/1,000 gal (assumption)

average - one-half maximum

Cellulose Fermentation

Fermenters:

Type continuous stirred tanks in series, 20 A A Continuous stirred tanks in series, 20 A Continuous stirred tanks in series and 20 A Continuous stirred tanks stirred tanks stirred tanks stirred tanks stirred tanks stirred

Temperature 37°C Pressure atmospheric

Fermentation time 7 days (experimental data)

Tank fill 95%

pH Uncontrolled

Cell yield 0.5 g cells/g cellulose (assumption)
Mixing power 0.1 hp/1,000 gal (assumption)

Conversions:

Cellulose to ethanol 72.0% (experimental data)

Cellulose to fusel oils 0.1% (assumption)

Cellulose to glycerol/

acetaldehyde 4.9% (assumption)

Cellulose to cells 10.0% "
Xylan to xylose 80.0% "

Nutrients none required (assumed contained in recycle water)

Enzyme loading 7 IU/g cellulose

Ethanol tolerance 4.5%

Ethanol to vent Aspen simulation (82% recovery)

Seed Fermenters:

Same as above except

Type batch

Fermentation time

S. cerevisiae 1 day B. clausenii 2 day

Cycle time

S. cerevisiae 1.5 days B. clausenii 2.5 days

Substrate - Initial glucose

- Final seed vessel cellulose/cellulase

Substrate concentration 1.0%

Final seed volume 10% of fermenter volume for each culture

(design assumption)

Airflow 0.2 vvm (assumption)

Mixing power

First seed vessel 0.5 hp/1,000 gal (assumption)
Other seed vessels 1.0 hp/1,000 gal (assumption)

Seed Hold Tanks:

Mixing power maximum -0.1 hp/1,000 gal (assumption)

average - one-half maximum

Ethanol Purification

Distillation:

Ethanol concentration 95.0 wt %

(Rectification column)

Water to fusel oils 5.0 lb/lb fusel oils (Badger data)

Ethanol concentration 40.0 wt % (Badger data)

(Beer column)

Reflux ratio (beer) 0.4 (Badger data)
Reflux ratio (rectification) 1.6 (Badger data)

Lignin Separation:

Solids recovery (centrifugation) 95% (assumption)

Solid concentration (centrifugation) 50%

Wastewater Treatment

Anaerobic Digestion:

Organics converted 90% (lignin unconverted) (experimental data,

Rivard 1990)

Biogas production 0.8 lb gas/lb organics converted, balance to cell mass

(experimental data, Rivard 1990)

Aerobic Digestion:

All remaining organics degraded except lignin

Solids recovery (centrifugation) 100% (assumption)

Solid concentration (centrifugation) 50%

Utilities

Boiler includes Flakt drying system:

Design pressure

1100 psig, 300°F superheat

Efficiency

83.5%

Turbogenerator:

Reduces 1100 psig steam to 150 psig and 50 psig for process use, any remaining steam is condensed

Efficiency

78.5%

Boiler Feed Water System:

Water rate to boiler

3.0% of steam usage plus direct injection (assumption)

Cooling Water System:

Water losses

1.3% of flow for evaporation (from literature)

0.3% of flow for windage

2.7% of flow for blowdown

Sterile Air System:

Air temperature

28°C

Appendix C

Process Data

Appendix C-1—Heat Capacities

Wood 0.32 Btu/lb-°F (Wenzel 1970)

Sulfuric acid 0.37 Btu/lb-°F (Himmelblau 1962)

Ethanol vapor 0.40 Btu/lb-°F (Yaws 1977)

Lime (solid) 0.29 Btu/lb-°F (Himmelbeau 1974)

Gypsum 0.26 Btu/lb-°F (Touloukian and Buyco 1970)

Air 0.25 Btu/lb-°F (McCabe and Smith 1976)

Corn steep liquor 1.00 Btu/lo-T (assumed)

Ethanol 0.35 Btu/lb-°F (Touloukian and Buyco 1970)

Carbon dioxide 0.21 Btu/lb-°F (McCabe and Smith 1976)

Corn oil 0.51 Btu/lb-°F (Perry and Chilton 1973)

Water vapor 0.45 Btu/lb-°F (Touloukian and Buyco 1970)

Water 1.00 Btu/lb-°F

Appendix C-2—Densities

All process streams were estimated

as water 62.4 lb/ft³

Ethanol 48.7 lb/ft³ (Weast 1972)

Sulfuric acid 114.2 lb/ft³ (Weast 1972)

Lime 139.8 lb/ft³ (Weast 1972)

Corn oil 57.4 lb/ft³ (Weast 1972)

Appendix C-3—Higher Heating Values

Lignin 11478 Btu/lb (Shafizadeh 1984)

Cellulose 7464 Btu/lb (Shafizadeh 1984)

Methane 23984 Btu/lb (Himmelblau 1974)

Ethanol 12836 Btu/lb (Weast 1972)

Xylose 6747 Btu/lb (Weast 1972)

Xylan 7464 Btu/lb (assumed the same as cellulose)

Soluble solids 5000 Btu/lb (assumed)

Cellulase 5000 Btu/lb (assumed)

Glycerol 7774 Btu/lb (Weast 1972)

Acetaldehyde 12835 Btu/lb (Himmelblau 1974)

Methane 23984 Btu/lb (Himmelblau 1974)

Appendix C-4—Latent Heat

Steam (50 psig) 912 Btu/lb (Steam tables)

Steam (150 psig) 857 Btu/lb (Steam tables)

Ethanol (12°C) 423 Btu/lb (Touloukian and Buyco 1970)

Ethanol (100°C) 324 Btu/lb (Touloukian and Buyco 1970)

Appendix C-5—Heat Transfer Coefficients (Tubular Exchangers)

Condensing steam-liquid 700 Btu/°F ft²-h (Perry and Chilton 1973)

Liquid-liquid 225 Btu/°F ft²-h (Perry and Chilton 1973)

Condensing vapor-gas 100 Btu/°F ft²-h (Perry and Chilton 1973)

Condensing vapor-liquid 400 Btu/°F ft²-h (assumed)

Coils (coils in agitated tank) 100 Btu/°F ft²-h (Perry and Chilton 1973)

Gas-liquid 60 Btu/°F ft²-h (Perry and Chilton 1973)

Appendix C-6—Solubilities

Gypsum

0.222 g/100 cc (100°C) (Weast 1972) 0.241 g/100 cc (20°C) (Weast 1972)

Appendix D

Spreadsheet Model

A spreadsheet model of the biomass-to-ethanol process was developed the help perform sensitivity analyses on the conceptual process design. The model includes a complete material and energy balance, capital and operating cost estimates, and economic evaluation.

The material and energy balance includes 80 streams with up to 27 components, 6 utility summaries, and 14 chemical requirement summaries. There are approximately 100 process variables that may be manipulated in the material balance in order to carry out sensitivity analyses. The variables are listed in Table D-1.

The utility summaries generated by the material and energy balance include the following:

Electricity
Low-pressure steam
High-pressure steam
Cooling water
Chilled water
Fermentation air

Feedstock, catalysts, and chemicals summaries generated include the following:

Biomass

 H_2SO_4

Lime

 NH_3

Corn steep liquor

Nutrients

Antifoam

Glucose

Gasoline

Diesel

Makeup water

Solids disposal

BFW chemicals

Cooling water chemicals

The capital cost estimate is generated using capacity exponents and a base case design for which a detailed cost estimate was originally made. The plant is broken down into 17 process areas and 9 utility areas as follows:

Wood Handling

Prehydrolysis

Xylose Fermentation

Seed fermenters

Main fermenters

Remaining equipment

Cellulase Production

Seed fermenters

Main fermenters

Remaining equipment

Simultaneous Saccharification and Fermentation

Seed fermenters, culture 1

Seed fermenters, culture 2

Main fermenters

Remaining equipment

Ethanol Recovery

Rectification column

Remaining equipment

Off-site Tankage

Environmental Systems

Wastewater treatment

Vent system

Utilities

BFW, steam, and condensate

Boiler

Process water

Turbogenerator

Cooling water

Chilled water

Fermentation air

Auxilliary utilities

Economic analyses are done on a total-plant basis and a process-unit allocated-cost basis. The cost of ethanol production is determined on both a per-year and per-gallon basis. There are approximately variables in the economic analysis section of the model. These variables include:

Capital Cost

Exponents for cost of scaled equipment, by area

Installation factors, by area

Working capital

Operating Costs

Onstream factor

Unit costs for all feedstocks, chemicals and utilities

Labor costs

Table D-1. Variables in the Spreadsheet Model of the Biomass-to-Ethanol Process

Wood Handling

Biomass feed rate, lb/h (wet basis) Biomass composition, wt %, (wet basis) Feed temperature, °F

Prehydrolysis

H₂SO₄ feed rate, lb/h H₂SO₄ temperature, °F Water temperature, °F

Low-pressure steam latent heat, Btu/lb High-pressure steam latent heat, Btu/lb Prehydrolysis reactor conversions

Xylan to xylose, %
Xylan to furfural, %
Xylan unconverted, %
Cellulose to glucose, %
Cellulose to hydroxymethylfurfural, %
Cellulose unconverted, %

Latent heat of stream from blowdown, Btu/lb Dilution water rate to blowdown tank, lb/h

Cellulase Production

Fraction of hydrolyzate to cellulase production

Fraction to seed fermenters

Dilution water rate to seed fermenters, lb/h

Dilution water rate to main fermenters, lb/h

Cell mass production ratio in seed fermenters, lb/lb cellulose + xylose

Nutrient feed rate, g/L

Corn steep liquor rate, g/L

Base feed rate, lb NH2/lb cellulose + xylose

Antifoam feed rate, mL/L

Enzyme yield, IU/g cellulose + xylose

Enzyme specific activity, IU/g enzyme

Cell mass production ratio in main fermenters, lb/lb cellulose + xylose

Fermentation time, days

Fermentation air rate, vvm

Number of seed trains operating

Time between seed batches, h

Seed fermentation air rate, vvm

Agitator power for main fermenters, hp/1,000 gal

Agitator power for seed fermenters, hp/1,000 gal

Table D-1. Variables in the Spreadsheet Model of the Biomass-to-Ethanol Process (Continued)

Xylose Fermentation

Fraction of remaining hydrolyzate to seed fermenters

Dilution water rate to seed fermenters, lb/h

Cell mass production ratio in seed fermenters, lb/lb glucose + xylose

Base feed rate, lb NH₃/lb ethanol produced

Fermentation time, days

Fraction of xylose available for conversion

Fraction of available xylose converted to ethanol

Fraction of glucose converted to ethanol

Water in fermentation off gas

Ethanol in fermentation off gas

Number of seed trains operating

Time between seed batches, h

Seed fermentation air rate, vvm

Agitator power for main fermenters, hp/1,000 gal

Agitator power for seed fermenters, hp/1,000 gal

Simultaneous Saccharification and Fermentation (SSF)

Fraction to seed fermenters

Percent cellulose converted in seed fermenters

Percent glucose converted in seed fermenters

Cell_mass production ratio in seed fermenters, lb/lb glucose

Dilution water rate to SSF, lb/h

SSF fermenter conversions

Cellulose to ethanol + CO2, %

Cellulose to acetaldehyde + glycerol + CO₂, %

Cellulose to yeast + CO2, %

Cellulose to fusel oils, %

Glucose conversion to products above, %

Xylan to xylose, %

Fermentation time, days

Water in fermentation off gas

Ethanol in fermentation off gas

Fraction of total water condensed from off gas

Fraction of total ethanol condensed from off gas

Number of seed trains operating

Split between two seed trains if two organisms used

Time between seed batches, h

Seed fermentation air rate, vvm

Agitator power for main fermenters, hp/1,000 gal

Agitator power for seed fermenters, hp/1,000 gal

Table D-1. Variables in the Spreadsheet Model of the Biomass-to-Ethanol Process (Concluded)

Ethanol Recovery

Percent of ethanol recovered

Percent of acetaldehyde vented

Percent of fusel oil recovered

Weight percent fusel oil in fusel oil product (balance assumed to be water)

Water to fusel oil decanter, number times fusel oil rate

Weight percent ethanol in product from ethanol distillation

Gasoline addition rate, lb gasoline/lb ethanol

Fraction solids recovered in lignin centrifugation

Weight percent solids in underflow from lignin centrifuge

rifecycled process water rate, Ib/fr

Environmental systems

Wastewater from CIP/CS, lb/h

Fraction of organics converted in anaerobic digestion

Organics to biogas production ratio, lb/lb

Utilities

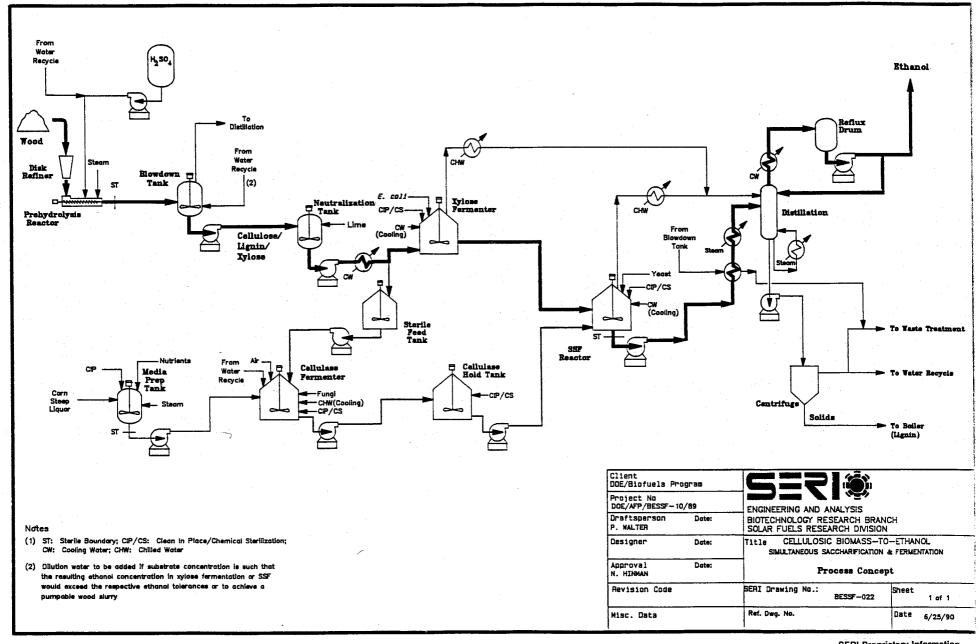
Boiler efficiency, %

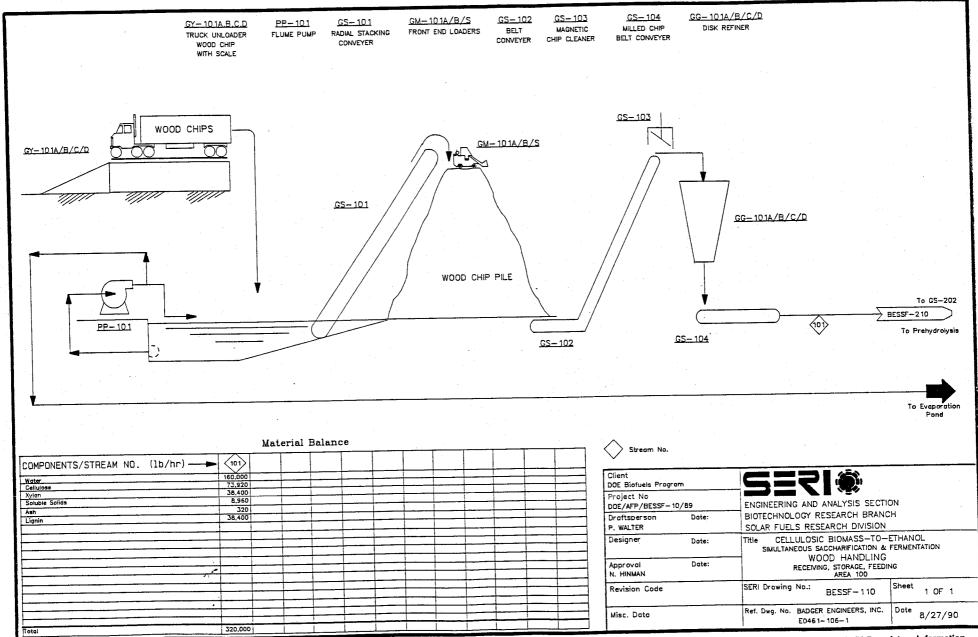
Turbogenerator efficiency, %

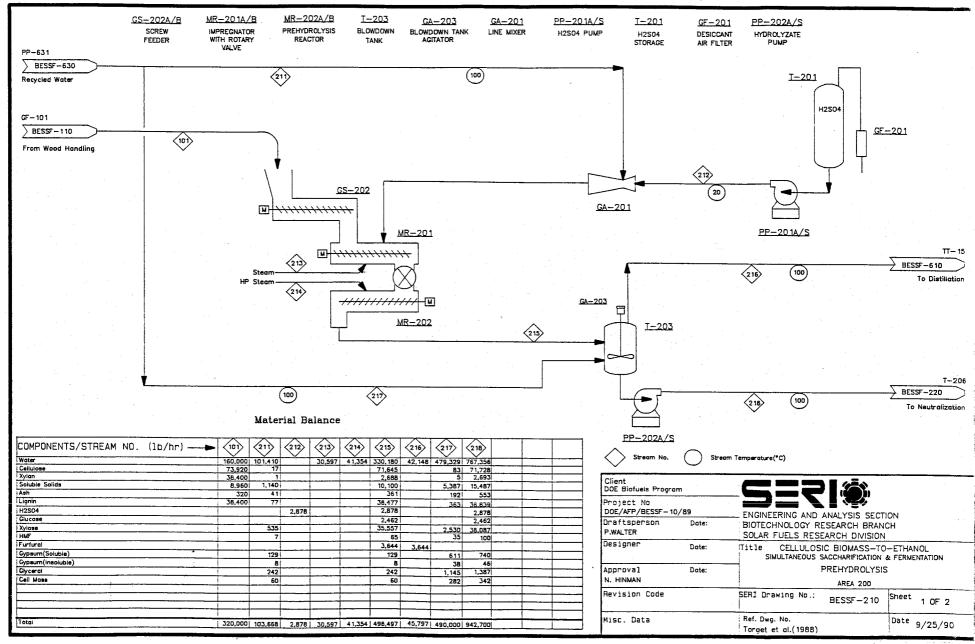
Appendix E

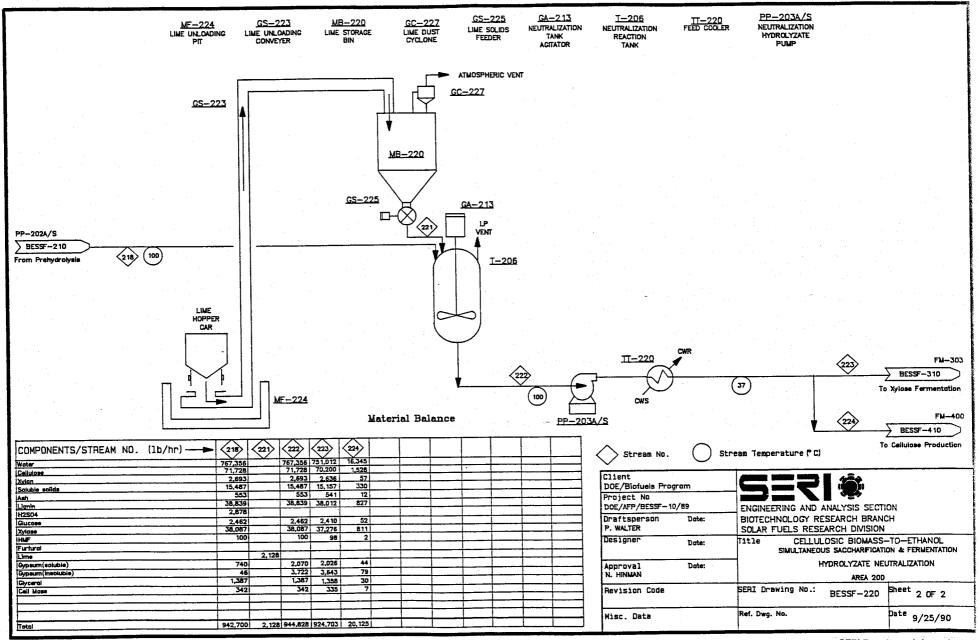
Process Flow Diagrams

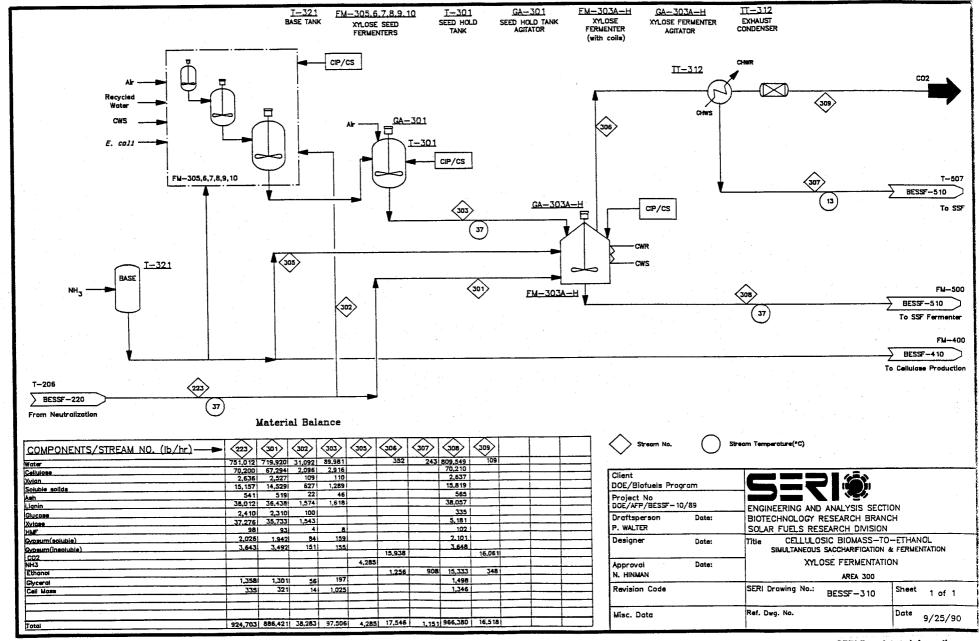
This section contains the process flow diagrams with material balances for the currently designed biomass-to-ethanol plant. Also included is a plot plan for the entire plant and a more detailed plot plan for the fermentation area.

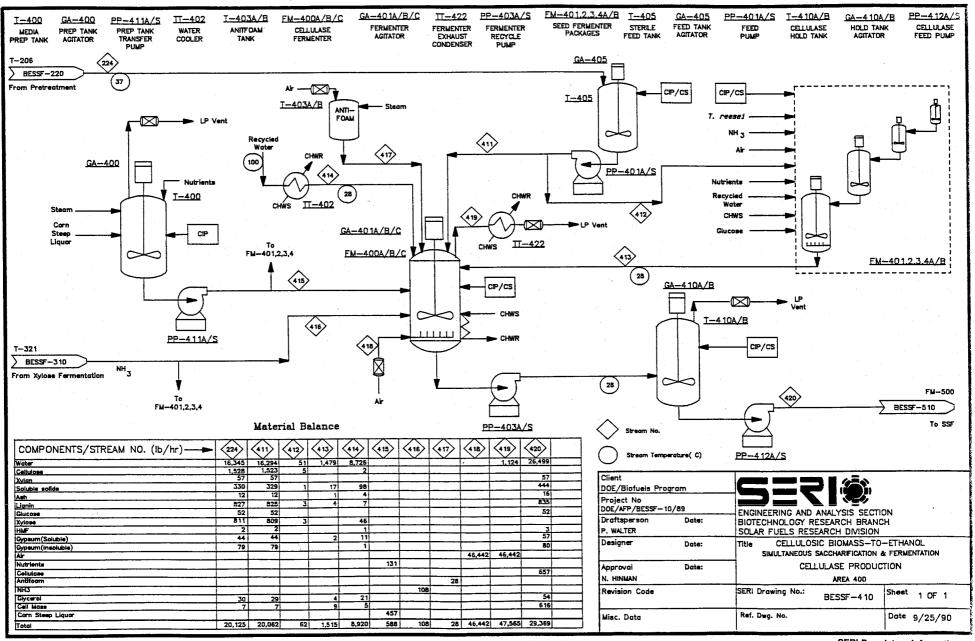


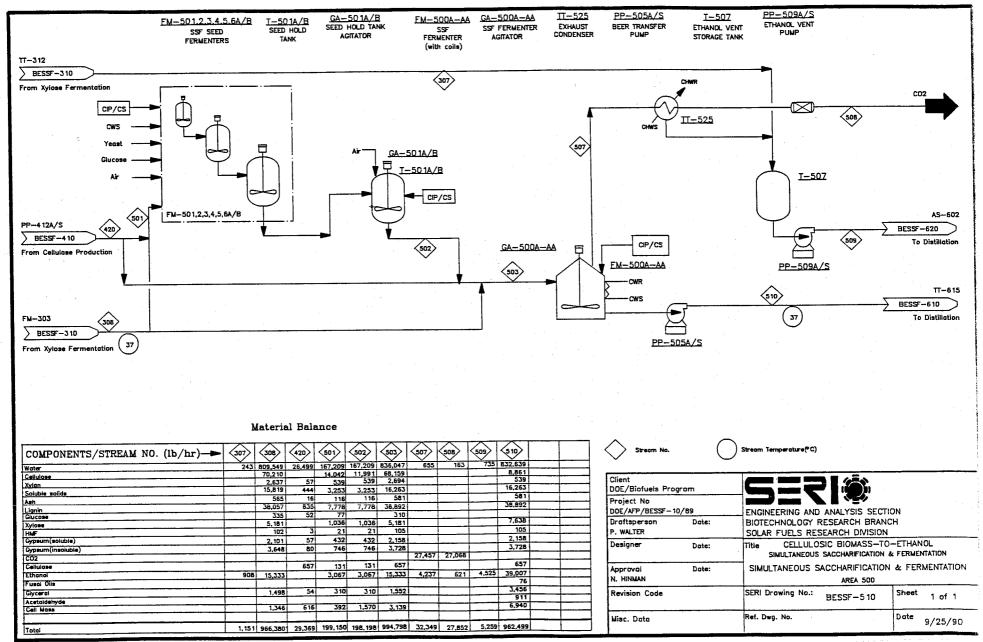


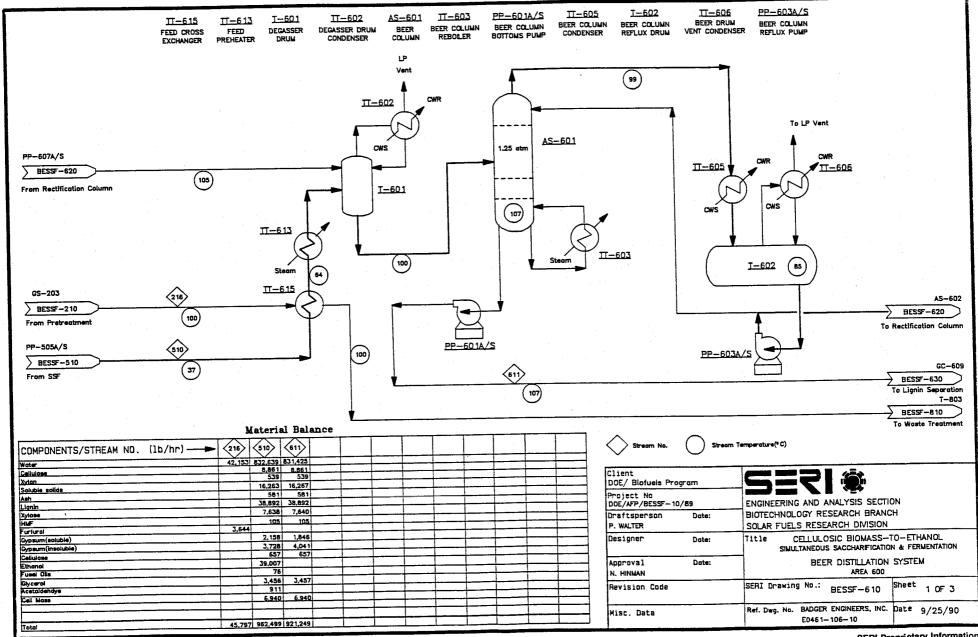


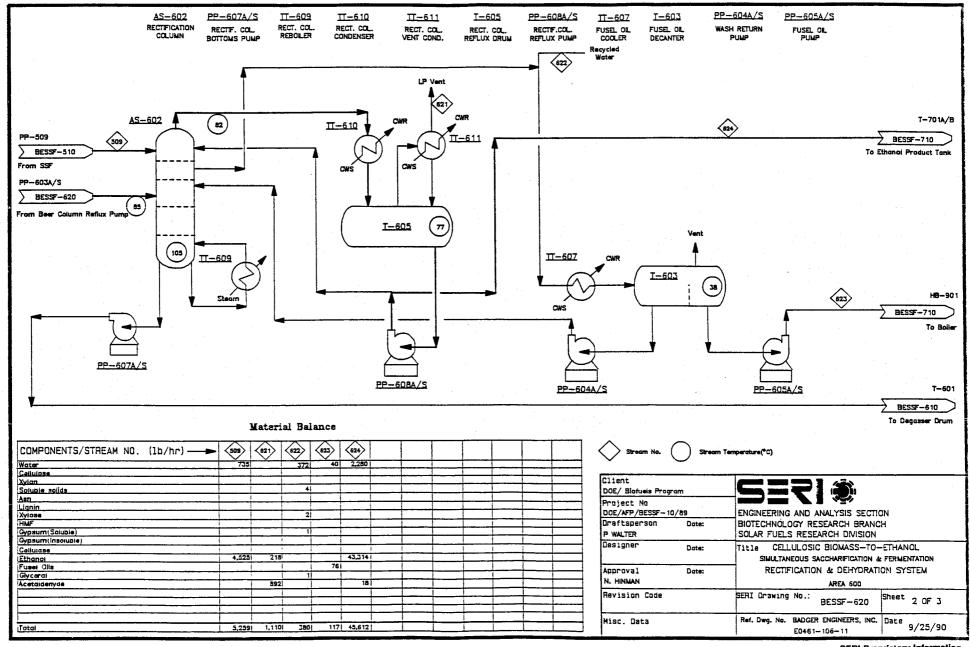


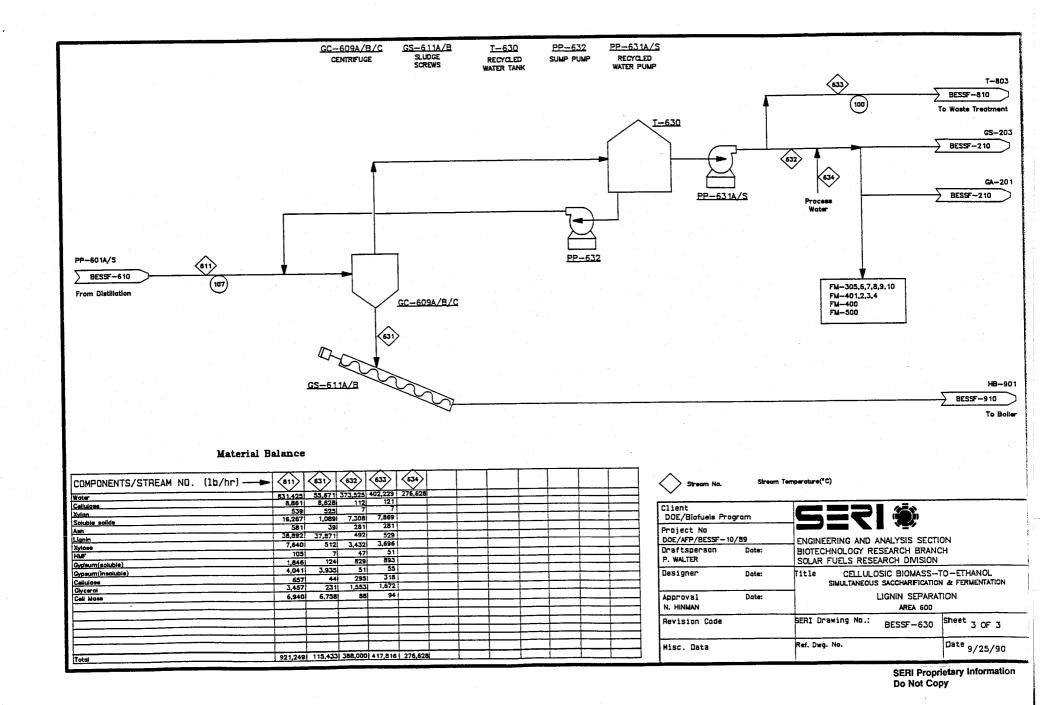


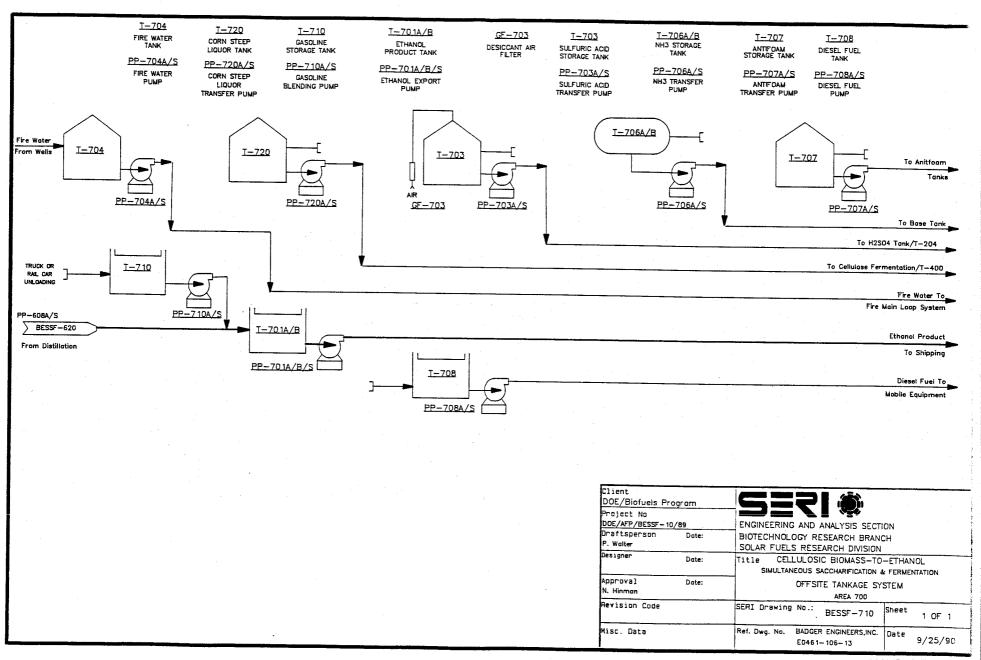


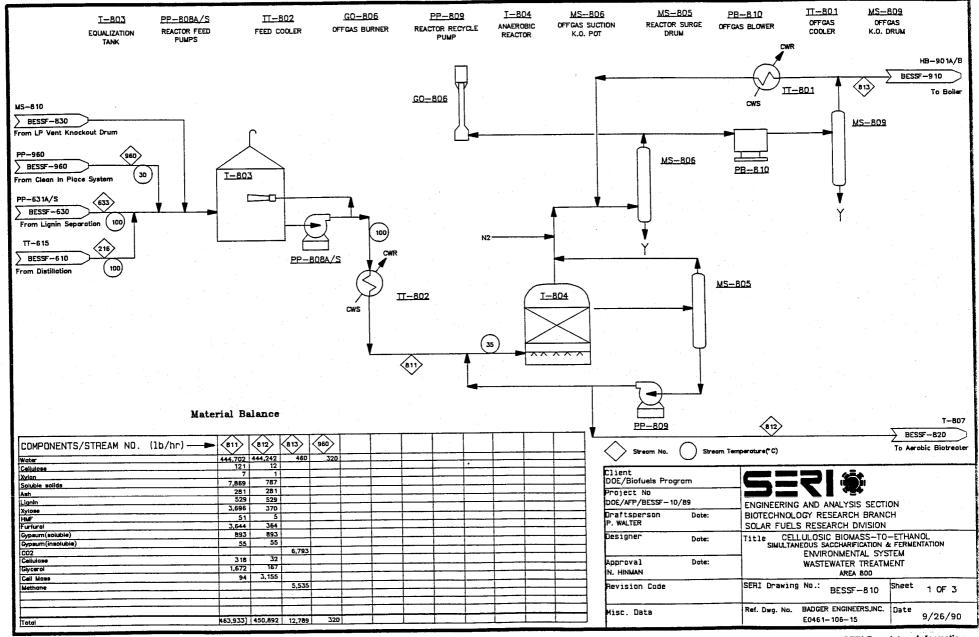


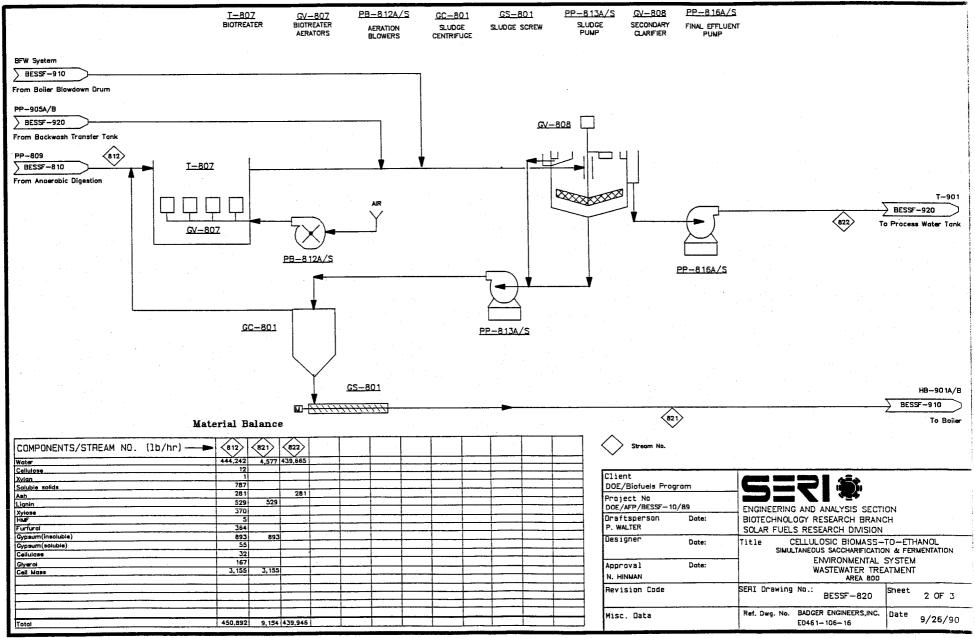


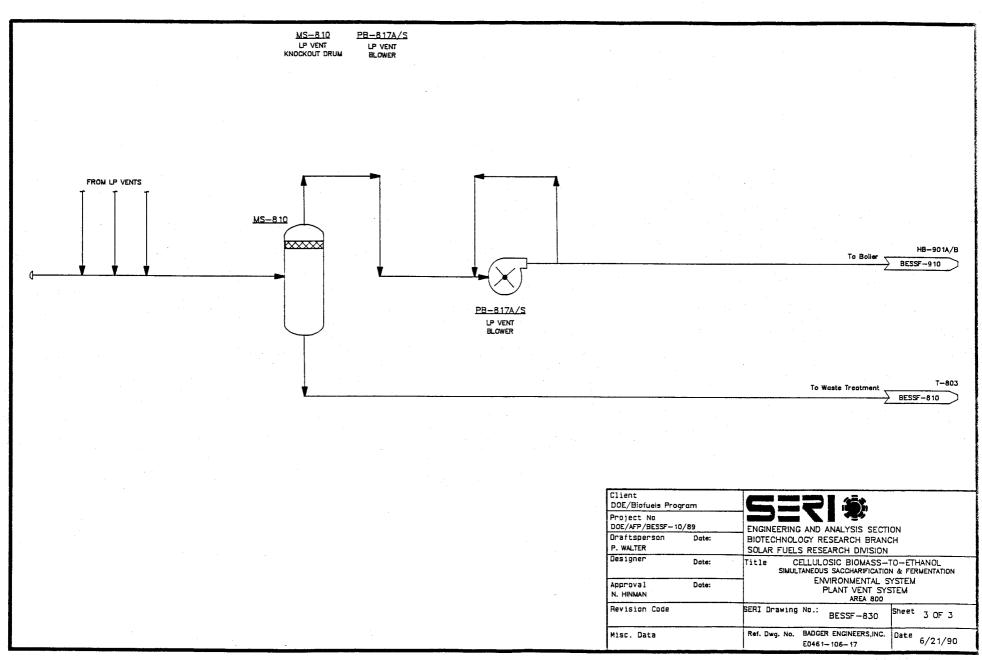


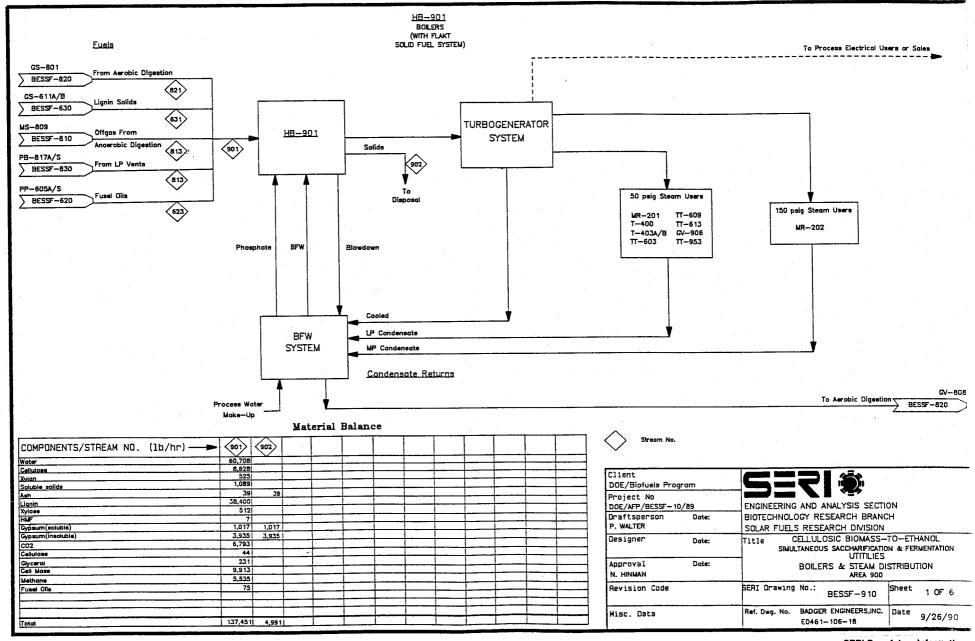


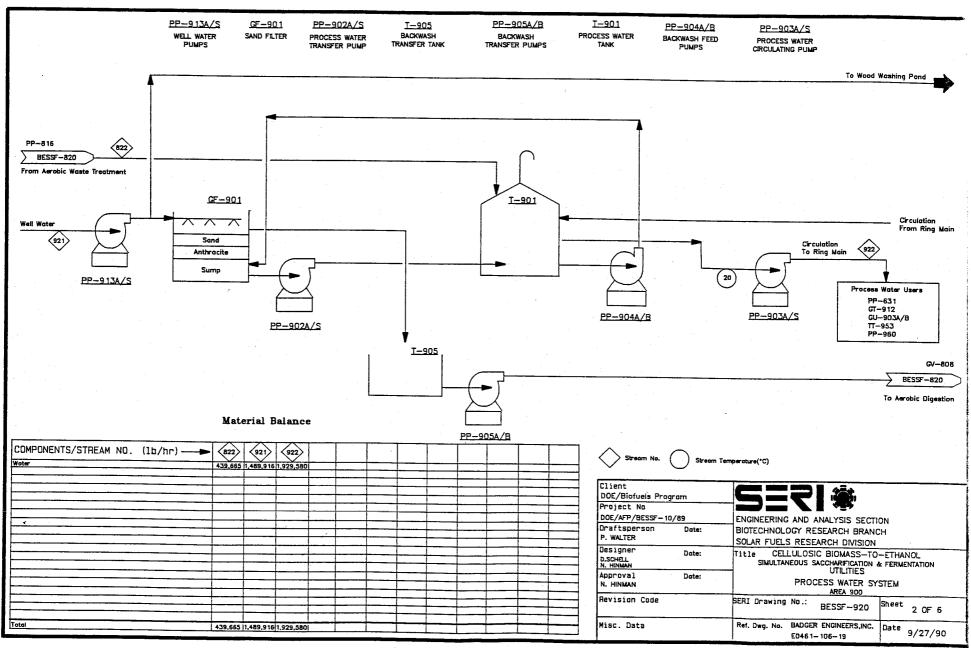


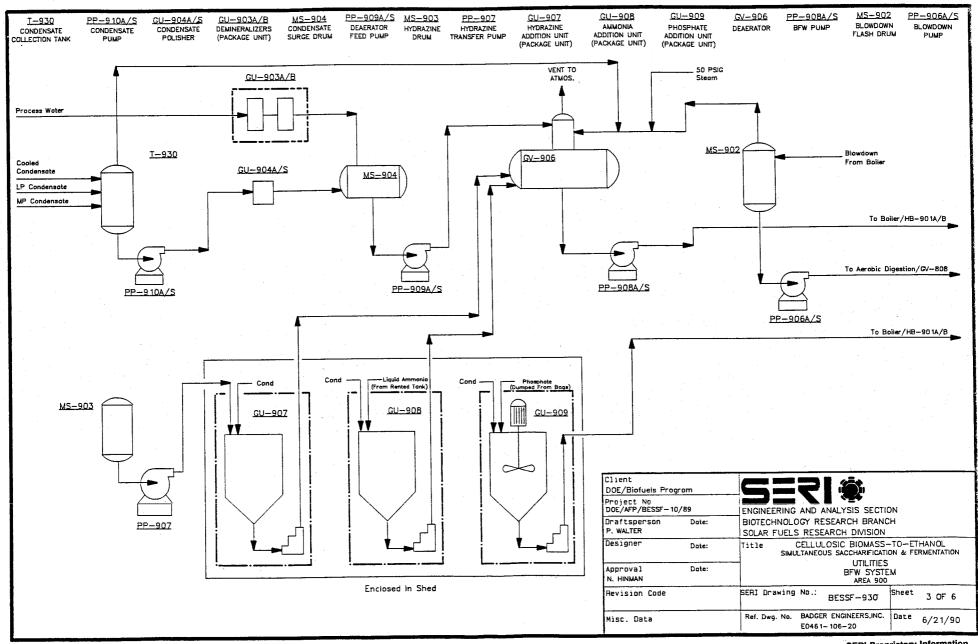


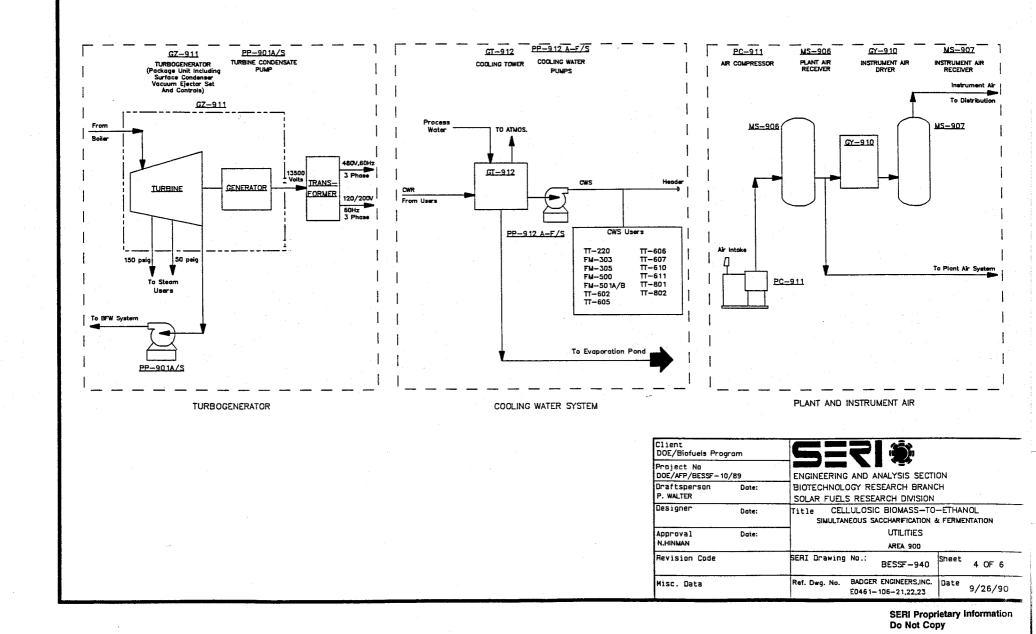


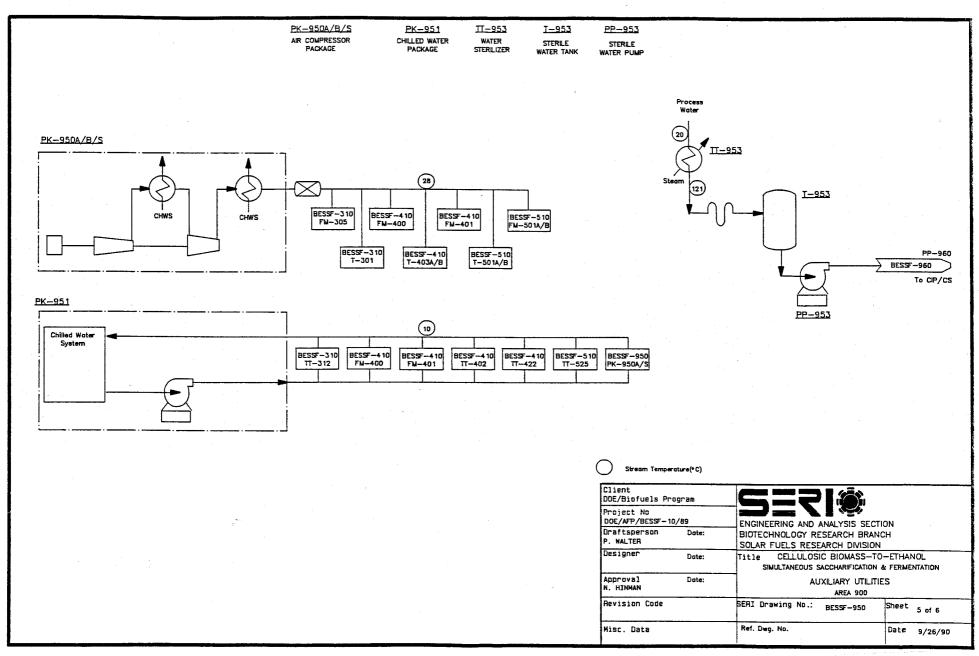


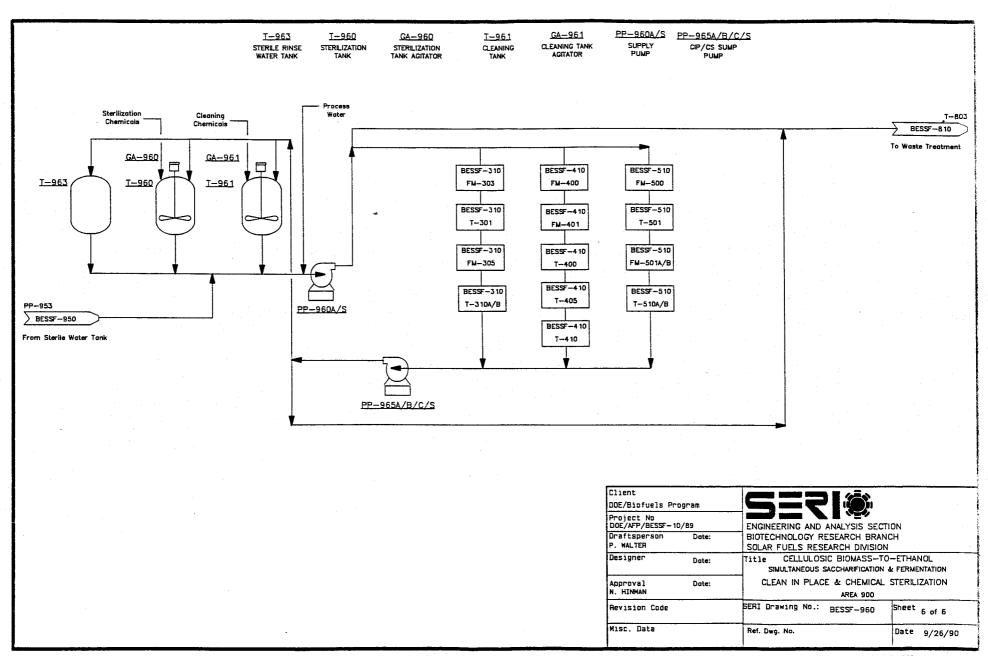


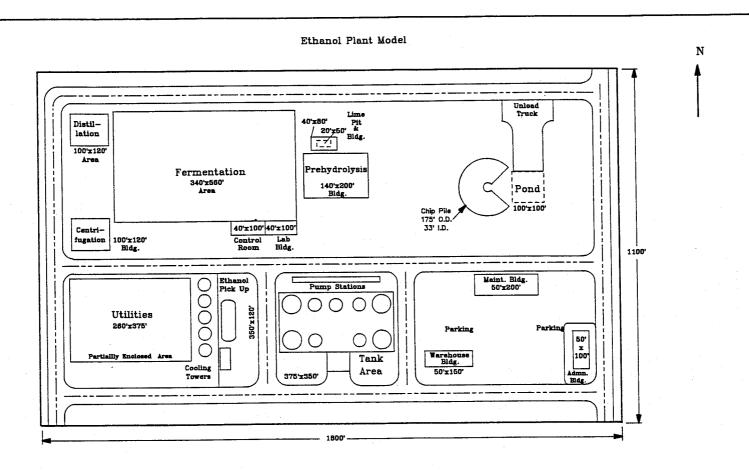




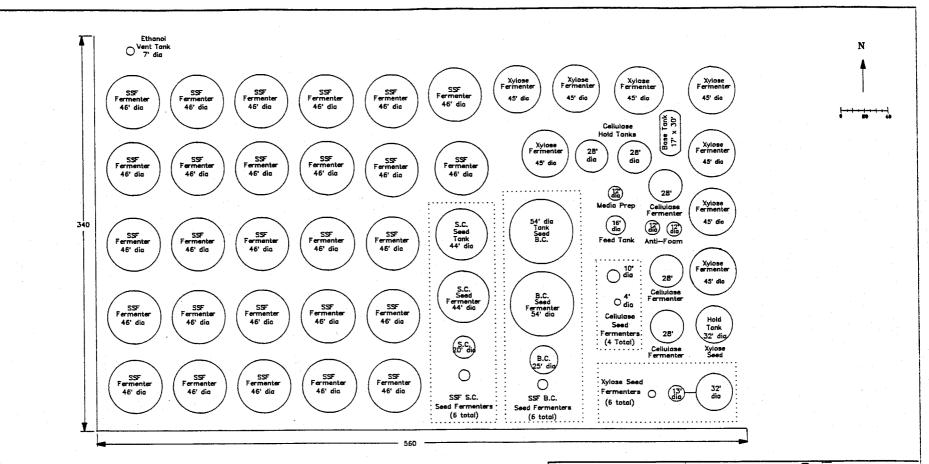








Client DOE/Biofuels Program	C=2
Project No DDE/AFP/BESSF-10/89 Draftsperson Date: P. WALTER	ENGINEERING AND ANALYSIS BIOTECHNOLOGY RESEARCH BRANCH SOLAR FUELS RESEARCH DIVISION
Designer Date: P. WALTER	Title CELLULOSIC BIOMASS-TO-ETHANOL SIMULTANEOUS SACCHARFICATION & FERMENTATION
Approval Date: N. HINMAN D. SCHELL	Plot Plan
Revision Code	SERI Drawing No.: BESSF-1000 Sheet
Misc. Data 1" = 200"	Ref. Dwg. No. Date 8/28/90



ENGINEERING AND ANALYSIS BIOTECHNOLOGY RESEARCH BRANCH SOLAR FUELS RESEARCH DIVISION
Title CELLULOSIC BIOMASS—TO—ETHANOL SIMULTANEOUS SACCHARFICATION & FERMENTATION
FERMENTATION TANK LAYOUT
SERI Drawing No.: BESSF-1001 Sheet 1 OF 1
Ref. Dwg. No. Date 8/27/90

Biobasss To Ethanol Simultaneous Saccharification & Fermentation Project No. DDE/AFF/BESSF/02

Project No. DO	E/AFP/BESSF/02							TOTAL		
###	EDUIPMENT Sulfuric Acid Storage Blowdown Tank Neutralization Reaction Tank Nylose Fermenter Nylose Seed Fermenter Sylose Seed Fermenter Sylose Seed Fermenter Seed Hold Tank Delulaise Fermenter Cellulaise Seed Fermenter Nedia Prep Tank Antifoam Tank Sterile Feed Tank Cellulaise Hold Tank SSF Fermenter SSF Seed Fermenters (S.C.) SSF S	0.944 0.945 0.946 0.956 0.957 0.957 0.957 0.706 0.706 0.706 0.706 0.706 0.706 0.706 0.707 0.708 0.	DIA/HERT 14tt/11tt 22tt/22ft 45tt/50tt 22tt/50tt 22tt/50tt 15tt/50tt 1.5tt/2.5tt 75tt/50tt 1.5tt/2.5tt 17tt/30tt 16tt/50tt 17tt/20tt 16tt/16tt 16tt/16t 16tt/16tt 16tt/16tt 16tt/16tt 16tt/16tt 16tt/16tt 16tt/16tt 16t	Fe 100 100 100 100 100 100 100 100 100 10	14444444444444444444444444444444444444	Capacity Gal	\$6,500 \$50,000 \$50,000 \$190,000 \$88,000 \$26,000 \$1,500 \$26,000 \$26,000 \$26,000 \$26,000 \$3,300 \$1,100 \$40,000 \$12,000 \$	\$23,000 \$14,000 \$122,000 \$18,000 \$126,000 \$18,000 \$126,000 \$18,000 \$126,000	Carus 1985 Icarus 1985 I	

Total Equipment Cost \$10,448,730 SERI Proprietary Information Do Not Copy

Appendix F

Equipment List

This section contains the equipment list for all equipment shown on the process flow diagrams. The list gives the equipment number, equipment name, specifications, cost, and source of the cost information.

ITEM # PD-181 PD-181 PD-181 PD-181 PD-2838/S PD-2838/S PD-4838/S PD-4838/S PD-4838/S PD-5838/S PD-6838/S PD-6838/S PD-6838/S PD-6838/S PD-6838/S PD-6328/S PD-6328/S PD-7848/S PD-7853/S PD-7848/S PD-7853/S PD-7853/S PD-9838/S	NOME Flume Pump Sulfuric Acid Pump Hydrolyzate Pump Meutralized Hydrolyzate Feed Pump Fermenter Recycle Pump Fermenter Recycle Pump Perp Tank Transfer Pump Eatlulase Feed Pump Beer Column Bottoms Pump Beer Column Bottoms Pump Beer Column Bottoms Pump Hash Return Pump Hash Return Pump Fusel Dil Pump Rectification Column Btm. Rectification Column Btm. Rectification Column Rfl: Recycled Mater Pump Sump Pump Ethanol Export Pump Sung Pump Ethanol Export Pump Sulfuric Acid Transfer Fire Nater Pump Mas Transfer Pump Basch Feed Pump Gasoline Blending Pump Final Effluent Pump Final Effluent Pump Final Effluent Pump Final Effluent Pump Backmash Transfer Pump Blowdown Pump Condensate Pump Dearator Feed Pump Boiler Feed Water Pump Boiler Feed Water Pump Sterile Nater Pump Kell Water Pump Sterile Water Pump	118014 802125	62.4 112.4 62.4 62.4 62.4 62.4 62.4 62.4 62.4 6	5PM 1998.0 3.2 1883.5 1887.8 44.2 1.2 58.3 1912.5 13.4 1831.5 281.6 6.2 7	6PM 2008 4 2008 4 2008 525 759 18 655 2008 15 2008 25 8 25 8 25 8 25 1708 1008 1008 1100 11008 11008 255 265 265 265 265 265 265 265 265 265	68 25 39 59	19. 2 315. 8 26. 2 0. 3	8. 755.7 4. 4. 5. 4. 4. 4. 5. 5. 6. 4. 5. 5. 7. 6. 4. 5. 5. 7. 6. 4. 5. 5. 7. 6. 4. 5. 5. 6. 6. 5. 7. 6. 4. 4. 4. 4. 5. 6. 6. 6. 6. 6. 6. 6. 6. 6. 6. 6. 6. 6.	17. 23 39. 67 2. 59 13. 13 5. 19 50. 01 7. 78 8. 58 8. 58 1. 82 1.	3 7.5 68 68 7.5 44 41 125 128 128 128 128 128 128 128 128 128 128		TYPE Centrifugal Reciprocating Pos. Diso. Pos. Disolactrifugal Centrifugal Cen	DESSF-110 SESSF-210 SESSF-210 SESSF-210 SESSF-210 SESSF-210 SESSF-310 SESSF-		UST/LINIT \$4, 200 \$4, 200 \$4, 200 \$67, 200	CIST 54, 388 \$6, 488 \$134, 388 \$125, 388 \$125, 388 \$125, 388 \$125, 388 \$125, 388 \$125, 388 \$15, 288 \$1	SGURCE ICATUS 1985 ICATUS I
PP-913A/S PP-953 PP-960A/S PP-965A/B/C/S	Sterile Water Pump Supply Pump				18	59	8.5	8 6.	4 9.44 4 1.46		t CS	Centrifugal		1 2 4 PUMPS Total	\$2,100 \$3,000 \$3,000	\$2,100 \$6,300 \$12,300 \$12,300	Icarus 1985

Bicmass To Ethanol Simultaneous Saccharification & Fermentation Project No. DOE/AFP/BESSF/02 EQUIPMENT LIST SOLIDS HANDLING

	EQUIPMENT			HP/			NO.	PURCHASED	TOTAL PURCHASED	
ITEM #	NAME	TYPE	DUTY/DESCRIPTION	UNIT	MAT'L	DRW #	REQ' D	COST/UNIT	COST	SOURCE
GS-103	Magnetic Chip Cleaner		remove down to .5" nuts	7	CS	BESSF-110	1	\$10,300	\$10.300	Icarus 1987
GM-101A/B/S	Front End Loaders	Diesel				BESSF-110	3	\$156, 200	\$468, 389	Icarus 1987
GS-181	Radial Stacking Conveyer		1500 t/h wet wint, 120ft x 30in	15	CS	BESSF-110	1	\$124, 908	\$124,900	Morbark 1983
65-162	Belt Conveyer	Belt	240ft x 6.5ft wide, 200 t/h	10	CS	BESSF-110	1	\$131,780	\$191.780	Ulrich 1984
GS-194	Milled Chip Belt Conveyor	Belt	50ft X 6.5ft wice, 200 t/h	5	CS	BESSF-110	1	\$40,000	\$40,000	Ulrich 1984
6Y-101A/B/C/D	Wood Chip Unloader with Scale	23-ton/load	18 yans/hr/loader	35	සස	BESSF-110	4	\$39,488	\$157,639	Morbark 1983
GG-101A/B/C/D	Disk Refiner			2588	CS	BESSF-118	4	\$379,000	\$1,515,200	Sprout-Bauer Quote, 1990
GS-202	Screw Feeder	Auger	19500 cft/h	108	CS	BESSF-210	2	\$280, 880	\$560,000	
65- 223	Lime Unloading Conveyer	Bučket	120 ft high, 100t/h	59	CS	BESSF-220	. 1	\$18,000	\$18,000	Peters et al.80, Ulrich 84
65-225	Lime Solids Feeder	Rotary Vlv	1.5°t/h	1	CS	BESSF-220	1	\$5, 200	\$5, 880	
MB-220	Lime Storage Bin	•	3500 cft		CS	BESSF-220	1	\$17, 200	\$17,000	Icarus 1985
#F-224	Lime Unloading Pit		605 cft, 20ft X 50ft X 3ft		Concrete	BESSF-220	1	\$5, 380	\$5, 288	Means 1987
69-611A/B	Sludge Screws	Screw, 18ir		16	CS	Bessf-630	5	\$20,500	\$41,000	Ulrich 1984
65-861	Sludge Screws	Screw, 9in	180ft long	1.5	CS	Bessf-820	1	\$8, 200	\$8, 369	Ulrica 1984

SOLIDS HANDLING EQUIPMENT Total Cost: \$3,162,500 Total HP Req'd: 10449.5 Biomass To Ethanol Simultaneous Saccharification & Fermentation EAS Project No. DOE/AFP/BESSF/82

EQUIPMENT LIST HEAT EXCHANGER

1TEM # TT-228 TT-312 TT-482 TT-482 TT-525 TT-583 TT-585 TT-585 TT-587 TT-589 TT-611 TT-613 TT-615 TT-680 TT-680 TT-683	Feed Cooler Exhaust Condenser Water Cooler Fermenter Exhaust Cndnsr Exhaust Condenser Degasser Drum Condenser Beer Column Reboiler Beer Column Condenser Beer Column Condenser Beer Column Condenser Beer Column Condenser Fusel Gil Cooler Rectification Clmn Rhoilr Rectification Clmn Cndsr Retferth Clmn Vent Cndsr Feed Dreheaster Feed Cross Exchanger Offgas Cooler Water Sterilizer Water Sterilizer Water Sterilizer Water Sterilizer Sterilizer Rect Cooler	988 1785 43 750 1331 500 1600 1, 25 2257	TUBE MATEL/DP psig / TEMP dem F (S/180 CS/180 CS/18	SHELL MATTL/DP psig /TEMP den F CS/108	TYPE Fixed Tube Vent Condenser Fixed Tube Vent Condenser Vent Condenser Vent Condenser Vent Condenser Reboiler Fixed tube Vent Concenser Bouile pipe Reboiler Fixed tube Vent Concenser Fixed tube Floating head Fixed tube Fixed tube Fixed tube Couls Fixed tube Couls Fixed tube Couls Fixed tube Couls	Single pass 4 shell-8 tube pass 4.0 in OD, Sch 10	DRW # DESSF-220 DESSF-310 DESSF-410 DESSF-410 DESSF-510 DESSF-510 DESSF-510 DESSF-620 DESF-620 DESSF-620 D	NO. REQ'D 1 1 1 2 1 1 2 1 1 1 1 1 1 1 1 1 1 1 1	PURCHASED COST/UNIT \$22,600 \$14,100 \$3,000 \$31,600 \$17,600 \$15,500 \$15,500 \$15,500 \$15,500 \$15,500 \$15,500 \$15,500 \$15,500 \$20,800 \$20,800 \$21,400 \$21,500 \$21,400 \$21,500 \$21	\$31,200 \$3,400 \$20,800 \$20,800 \$3,400 \$9,500 \$35,400 \$8,300 \$83,000 \$83,200	SOURCE Ulrich 1984 Hall et al. 1982 ICARUS 1985 Hall et al. 1982 ICARUS 1985 Hall et al. 1982 Hall et al. 1988
11-303				L3/ 196		4.0 in OD, Sch 10 6.0 in OD, Sch 10 2.5 in OD, Sch 10		8 3 27			Vendor Quote Vendor Quote Vendor Quote

Total Equipment Cost \$448,380

EQUIPMENT LIST MISCELLANEOUS

									TOTAL	
	EQUIPMENT		HP/				NO.	PURCHASED	PURCHASED	8511955
ITEN #	NAME	DUTY/DESCRIPTION	Unit	MATIL	REMARKS	DRN #	RED'D	CEST/UNIT \$2.108	EOST \$2,100	SOURCE Icarus 1987
6A-201	Line Mixer	6:1 - t11	25	Hastalloy	,	BESSF-210 BESSF-210	†	\$18.320	\$13,200	Chemcost
6A-283	Blowdown Tank Agitator Neutralization Tank Agitator	Single Impelle r Single Impeller	59	SS 384 SS 384		BESSF-220	;	\$23,600	\$29, 508	Chemcost
6A-213 6C-227	Lime Dust Cyclone	250 OSCENI 15 lb/b solids	30	CS		BESSF-220	ī	\$800	\$788	Chescost
GF-201	Docionant Din Filton	250 ASCFA, 15 lb/h solids 0.5 cfm		Silica		BESSF-210	1	\$1,888	\$1,208	
MR-201	Impregnator with Rotary Valve	2564 cu ft. 20 HP drive. 20 HP rtry vive	48	C-56 CP:	3 Continuous pulp digester	BESSF-210	2	\$1,832,280	\$3,660,000	Black Clawson 1990
MR-202	Prehydrolysis Reactor	2564 cu ft. 28 HP drive. 28 HP rtry vlve	48		3 Continuous pulp digestor	BESSF-218	ş	\$1,830,000 \$16,900	\$3,660,080 \$16,900	Black Clawson 1990 Chewcost
GA-381	Seed Hold Tank Agitator	Single impeller/ 48 hp	29 75	25		BESSF-310 BESSF-310	1	\$28, 988	\$231,200	Chemcost
6A-303A-H	Xylose Fermenter Agitator	Single Impeller Single Impeller	150	72		BESSF-310	1	\$55,200	\$55,280	Chencost
69-305 69-306	First Seed Vessel Agitator Second Seed Vessel Agitator	Single Impeller	40	ČŠ		BESSF-318	ī	\$16, 900	\$16,388	Cheecost
6A-387	Third Good Veccal Guitator	Single Impeller	5	CS		BESSF-318	1	\$5, 396	\$6,208	Chemcost
6A-388	Third Seed Vessel Agitator Fourth Seed Vessel Agitator	Simple Impeller	9.5	CS		BESSF-310	i	\$2,088	\$2,000	Chemcost
GA-400	Prep Tank Apitator	Single Impeller/ 10 hp	9.6	CS		BESSF-410	1	\$7,588	\$7,500 \$225,900	Chescost Chescost
6A-481A/B/C	Fermenter Agitator	Single Impeller	260 150	CS		BESSF-410 BESSF-410	1	\$75,398 \$127,989	\$127, 200	Chescost
GA-485	Feed Tank Agitator	Single Impeller/ 300 hp	188	. CC		BESSF-418	i	\$75,388	\$75,388	Chescost
59-410 59-411	Hold Tank Agitator First Seed Vessel Agitator	Single Impeller/ 200 hp Single Impeller	48	CS		BESSF-418	ż	\$15.988	\$33,380	Chemcost
59-412	Second Seed Vessel Agitator	Single Impeller	1.5	ČŠ		BESSF-410	2	\$3,288	\$6.000	Chemcost
69-413	Third Seed Vessel Agitator	Single Impeller	8, 25	CS		BESSF-418	2	\$1,588	\$3,229	Chescost
6A-508A-AA	CCC Commeton Onitation	Ginala Impelian	88	CS		BESSF-510	27	\$29, 988	\$897,300	Chemcost Chemcost
6A-501A	Seed Hold Tank Agitator (S.c.)	Single Impeller/ 50 hp	25	Œ		BESSF-510 BESSF-510	1	\$20,188 \$28,980	\$20,190 \$28,300	Chemcost
6A-501B	Seed Hold Tank Agitator (B.c.)	Single Impeller/ 75 hp Single Impeller	ან 250	LS CC		BESSF-510	i	\$97,288	\$97.288	Chescost
6A-510A 6A-511A	First Seed Vessel Agitator (S.c.) Second Seed Vessel Agitator (S.c.)	Single Impeller Single Impeller	25 35 259 59	ឧនឧឧឧឧឧឧឧឧឧឧឧឧឧឧឧឧឧឧឧឧឧឧឧឧ		BESSE-518	î	\$28, 188	\$28, 198	Chemcost
GA-512A	Third Seed Vessel Agitator (S.c.)	Single Impeller	7.5	čš		BESSF-510 BESSF-510	i	\$6,388	\$5,328	Chencost
6A-513A	Fourth Seed Vessel Anitator (S.c.)	Single Impeller	8.75	CS		BESSF-518	1	\$2,500	\$2,580	
60-5108	First Sept Usespl Anitator (R.c.)	Single Impeller	400	CS		BESSF-510	1	\$172,688	\$172,580	Chemcost Chemcost
6A-511B	Second Seed Vessel Agitator (B.c.) Third Seed Vessel Agitator (B.c.) Fourth Seed Vessel Agitator (B.c.)	Single Impeller	75 19	င္အ	•	BESSF-518 BESSF-518	1	\$28, 988 \$7, 588	\$28, 320 \$7, 500	Chemicost Chemicost
6A-512B	Third Seed Vessel Agitator (B.c.)	Single Impeller Single Impeller	10	62		BESSF-510	1	\$2,700	\$2,720	G.C.E.C.S.V
6A-513B 6C-609A/B/C	Centrifuse	Solid Bowl	200		Assumed 75% SS cost	BESSF-630	ž	\$225, 388	\$675, 280	Badger 1984
GF-783	Desiccant Air Filter	1508 cfm		Silica	l .	BESSF-718	ī	\$38,800	\$38,888	Icarus 1985
GC-881	Sludge Centrifuge	Solid Bowl	49	CS CS	Assumed 75% SS cost	BESSF-826	1	\$128, 228	\$128, 289	Badger 1984
60-886	Offgas Burner			CS	.1	BESSF-810 BESSF-820	1	\$20,388 \$10,388	\$28, 288 \$12, 288	
6V-807	Biotreater Agitators	Suchan Sana 100 St. di santan		Polyethy	/1ene	BESSF-820	i	\$268, 888	\$260,000	Ulrich 1984
6V-888 PB-810	Secondary Clarifier Offgas Blower Aeration Blowers	Center feed, 100 ft diameter 2630 cfm, 20 psig discharge	50			BESSF-810	i	\$74,388	\$74.322	Peters and Tizmernaus 1980
PB-812A/S	Ometion Blowers	1700 of 25 nein discharge	60	i cs		BESSF-620	ž	\$59,400	\$118,500	Peters and Timmernaus 1980
PB-817A/S	Th ABUZ DYOMEL.	2400 cfm, 20 psig discharge Single Impeller	50	CS		BESSF-830	2	\$73,200	\$146, 200	Peters and Timmernaus 1982
GA-968	Sterilization Tank Apitator	Single Impeller	10			BESSF-968 BESSF-968	1	\$10,600	\$19,800 \$10,800	Chescost Chescost
6A-961	Cleaning Tank Agitator	Single Impeller 34ft dia. X 8ft high	10			BESSF-928	1	\$19,888 \$39,688	\$10,600 \$39,600	Icarus 1985
GF-981	Sand Filter	34ft dia. 1 Bft nigh 54000 ppm	118	CS .	Tank 0.6 scaling factor	DECCE040	1	\$751, 408	\$751, 488	
61-912 61-9839/B	Cooling Tower System Demineralizers	200 gpm	116	, cs	0.6 scaling factor	BESSF-930 BESSF-930	į	\$308,200	\$616.408	Badger 1984
6U-984A/S	Condensate Polisher	1400 nps		CS SS SS SS	0.0 2220.13	BESSF-930	Ž	\$188,388	\$200, 800	•
SU-987	Hydrazine Addition Package	150 gal tank, 2 pumps, 1 agitator	1	SS		BESSF-938	1	\$15,298	\$15,200	
GU-906	Ammonia Addition Package	150 mai tank. 2 mmos. 1 acitator	1	SS		BESSF-930	1	\$15,888	\$15,000 \$15,000	
GU-989	Phosphate Addition Package	158 gai tank, 2 pumps, 1 agitator		55	1/ SS internals	BESSF-930 BESSF-930	1.	\$15, 288 \$133, 288	\$133,280	Badger 1984
6V-986	Dearator	150 gai tank, 2 pumps, 1 agitator 1700 gpm, 17808 gal 608 scfm/ desiccant		CS SUET	1) 25 Tiretiers	BESSF-948	1	\$23,188	\$23,128	l learus 1985
6Y-91 0 6Z-911	Instrument Air Dryer Turbo Generator	20 141				BESSF-946	i	\$5, 580, 088	\$6,599,000	ABB Quote 1990
HB-901A	Steam Boiler	1100 osia. 4500001b/h. 300F superheat			\$19,800,00 Installed	RESSE-918	ī	58		ARR Dunte 1998
PC-911	Hir Compressor	1000 301 #	250	CS CS	0.7 scaling factor	BESSF-940	<u>i</u>	\$58,320	\$58,320	A.D. Little 1984 A.D. Little 1984
PK-950A/B/S	Air Compressor Package	28898 scfm	258		8.7 scaling factor	BESSF-950 BESSF-950	3	\$699,389 \$608,388		
PK-951	Chilled Water Package	3380 gpm, 50 F	296	کشا ہ		\$5335 -338	1	3000, 000	*COD, 000	,
							Total Ecui	ipment Cost	\$21,534,300)
							Total HP		16785	5

Page 1 of 1

Biomass To Ethanol Simultaneous Saccharification & Fermentation Project No. DDE/AFP/BESSF/02

EQUIPMENT LIST TOWERS

ITEN # AS-601 AS-602	EDUIPMENT NAME Beer Column Rectification Column	DIA/HGHT (feet) 17.0/32 13.5/48	NO. TRAYS 16 24	PRESS. psi 15 15	TEMP. F 389 388	MAT'L CS CS	REMARKS	DRW # BESSF-610 BESSF-620	NO. REQ'D 1	PURCHASED COST/UNIT \$205, 108 \$192, 588	TOTAL PURCHASED COST \$205, 100 \$192, 500	SOURCE Icarus 1985 Icarus 1985
									Total	Favin. Cost:	\$397,588	